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UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

	X	
In re:	:	CHAPTER 11
	:	
LEHMAN BROTHERS HOLDINGS INC., et al.,	:	Case No. 08-13555 (JMP)
	:	
Debtors.	:	(Jointly Administered)
	X	

**NOTICE OF MOTION OF ROBERT FRANZ PURSUANT TO FED.R.BANKR.P. 9024
INCORPORATING BY REFERENCE FED.R.CIV.P. 60(b) AND SECTION 105(a)
OF THE BANKRUPTCY CODE
FOR RECONSIDERATION AND REINSTATEMENT OF PROOF OF CLAIM**

PLEASE TAKE NOTICE that a hearing on the annexed motion (the "Motion") of Robert Franz ("Franz") pursuant to Fed.R.Civ.P. 60(b) and Section 105(a) of the Bankruptcy Code and Bankruptcy Rules 9034 for entry of an order reinstating the certain proof of claim of Franz identified as Proof of Claim 13065, as amended by Proof of Claim 67641, will be held before the Honorable James M. Peck, United States Bankruptcy Judge, at the United States Bankruptcy Court, Alexander Hamilton Customs House, Courtroom 601, One Bowling Green, New York, New York 10004 (the "Bankruptcy Court"), on December 21, 2011 at 10:00 a.m. (prevailing Eastern Time) (the "Hearing").

PLEASE TAKE FURTHER NOTICE that objections, if any, to the relief requested by the Motion shall be in writing, shall conform to the Bankruptcy Rules and the Local Rules of the Bankruptcy Court for the Southern District of New York, shall set forth the name of the objecting party, the basis for the objection and the specific grounds thereof, shall be filed with the Bankruptcy Court electronically in accordance with General Order M-399 (which can be found at www.nysb.uscourts.gov) by registered users of the Bankruptcy Court's case filing system and by all other parties in interest, on a 3.5 inch disk, preferably in Portable Document

Format (PDF), WordPerfect, or any other Windows-based word processing format (with two hard copies delivered directly to Chambers), and shall be served upon: (i) the chambers of the Honorable James M. Peck, One Bowling Green, New York, New York 10004, Courtroom 601; (ii) White & Case LLP, 1155 Avenue of the Americas, New York, New York 10036, Attn: Gerard Uzzi, Esq. and J. Christopher Shore, Esq., attorneys for the Group; (iii) Weil, Gotshal & Manges LLP, 767 Fifth Avenue, New York, New York 10153, Attn: Harvey R. Miller, Esq., Richard P. Krasnow, Esq., Lori R. Fife, Esq., Shai Y. Waisman, Esq., Jacqueline Marcus, Esq., and Alfredo R. Pérez, Esq., attorneys for the Debtors; (iv) the Office of the United States Trustee for Region 2, 33 Whitehall Street, 21st Floor, New York, New York, 10004, Attn: Tracy Hope Davis, Esq., Elisabetta G. Gasparini, Esq., Andrea B. Schwartz, Esq., Andy Velez-Rivera, Esq., Paul Schwartzberg, Esq., Brian Matsumoto, Esq., and Linda Riffkin, Esq.; (v) Milbank, Tweed, Hadley & McCloy LLP, 1 Chase Manhattan Plaza, New York, New York 10005, Attn: Dennis F. Dunne, Esq., Dennis O'Donnell, Esq., and Evan Fleck, Esq., attorneys to the official committee of unsecured creditors appointed in these cases; and (vi) any other person or entity with a particularized interest in the subject matter of the Motion, so as to be so filed and received by no later than December 12, 2011 at 4:00 p.m. (prevailing Eastern Time) (the "Objection Deadline").

PLEASE TAKE FURTHER NOTICE that only those objections that have been filed and served by the objection deadline in accordance with the procedures herein may be considered by the Court at the hearing and that if no responses to the Motion are timely filed and served the bankruptcy Court shall enter an order granting the Motion without further notices.

PLEASE TAKE FURTHER NOTICE that the hearing may be adjourned from time to time without further notice.

Dated: November 23, 2011

Respectfully submitted,
WHITE AND WILLIAMS LLP

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UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re:	:	CHAPTER 11
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LEHMAN BROTHERS HOLDINGS INC., et al.,	:	Case No. 08-13555 (JMP)
	:	
Debtors.	:	(Jointly Administered)
	:	

MOTION OF ROBERT FRANZ PURSUANT TO FED.R.BANKR.P. 9024
INCORPORATING BY REFERENCE FED.R.CIV.P. 60(b), AND SECTION 105(a)
OF THE BANKRUPTCY CODE FOR RECONSIDERATION AND REINSTATEMENT
OF PROOF OF CLAIM

Robert Franz ("Franz"), by and through his counsel, White and Williams LLP, respectfully submits this motion and the Accompanying Affidavit of Robert Franz sworn to on November 14, 2011 (this "Motion") pursuant to Federal Rules of Civil Procedure 60(b) made applicable hereto by Federal Bankruptcy Rules 9024, and Section 105(a) of the Bankruptcy Code for reconsideration of the Court's Order granting the Debtors' Ninety-Second Omnibus Objection to Claims (No Blocking Number LPS Claims) (the "March 31st Order") and reinstatement of Proof of Claim No. 13065 as amended by Proof of Claim No. 67641, and respectfully states the following:

PRELIMINARY STATEMENT

1. On or about April 16, 2009, Franz promptly and timely filed a proof of claim in this bankruptcy action in the amount of \$1,665,263.82, which was assigned Claim Number 3802 ("Franz Proof of Claim 1"). Therein, his address was listed as 81 Dixon Avenue, Boonton, NJ 07005. (See Affidavit of Robert Franz sworn to on November 14, 2011, Exhibit A).

2. On or about September 15, 2009, Franz timely filed a second proof of claim in the amount of \$2,128,189.23, which was assigned Claim Number 13065 ("Franz Proof of Claim 2").

Franz's address was listed as 81 Dixon Avenue, Boonton, NJ 07005 in Franz Proof of Claim 2. (See Affidavit of Robert Franz, Exhibit B).

3. On or about February 14, 2011, Lehman Brothers Holdings Inc. and its affiliated debtors filed their Ninety-Second Omnibus Objection to Claims (the "92nd Objection") seeking the disallowance and expungement of the claims listed on Exhibit A annexed thereto. One of the claims identified in the 92nd Objection was Franz Proof of Claim 2.

4. Franz did not receive notice from the Debtors regarding the 92nd Objection. As a result of the Debtors' failure to promptly notify Franz of the 92nd Objection, it was impossible for Franz to respond regarding the failure to include blocking numbers in Franz Proof of Claim 2.

5. Unknown to Franz, on or about March 31, 2011, this Court entered the March 31st Order with respect to the claims listed on Exhibit 1 annexed hereto, which included Franz Proof of Claim 2.

6. Franz did not receive notice from the Debtors regarding the March 31st Order and did not learn of the March 31st Order until the Summer of 2011.

7. Thereafter, on or about August 24, 2011, Franz caused to be filed an amended proof of claim covering those bonds of the Debtors identified in Franz Proof of Claim 2 and additional bonds of the Debtors owned by Franz (the "Amended Franz Proof of Claim").

8. With respect to the 92nd Objection, even assuming, arguendo, that a blocking number was required, Franz Proof of Claim 2, as amended by the Amended Franz Proof of Claim, should survive as an informal proof of claim because sufficient information was timely provided to the Debtors to put them on notice of Franz's claim.

9. Furthermore, Franz Proof of Claim 2 clearly satisfies the requirements for an informal proof of claim and provided the Debtors with an explicit demand setting forth the nature of the claim, the amount of the claim, and Franz's intent to hold the Debtors liable. The considerations of equity clearly weigh in Franz's favor and expungement of Franz's claim was not warranted under the circumstances.

10. The facts clearly show that Franz did not receive notice of the 92nd Objection or the March 31st Order and that grounds exist under Rule 60(b) for the relief requested herein by Franz.

RELIEF REQUESTED

11. Franz respectfully submits this Motion and the accompanying Affidavit of Robert Franz and hereby requests that (1) the Court reconsider the March 31st Order as it relates to Franz Proof of Claim 2, (2) the Court reinstate Franz Proof of Claim 2 as amended by the Amended Franz Proof of Claim, and (3) the Court grant Franz an opportunity to respond to the Debtors' 92nd Objection.

FACTS

12. Franz is an individual investor and the Lehman Program Securities specified in Franz Proof of Claim 2 have an aggregate par value of \$2,128,189.23 (See Affidavit of Franz, ¶ 7).

13. The Debtors' Securities Program Bar Date Notice, dated July 27, 2009, gave holders of Lehman Program Securities instructions for submission of their claims. In particular, the notice contained a "Special Note Regarding Blocking Numbers".

14. Franz attempted, through his broker, to obtain blocking numbers for his Lehman securities, but could not. (See Affidavit of Franz ¶ 31). Because Franz was unable to obtain blocking numbers through his broker in a timely manner despite his diligent and good faith efforts, on September 15, 2009, Franz filed the Franz Proof of Claim 2 in order to ensure its receipt, without blocking numbers.

15. On or about February 14, 2011, the Debtors filed the 92nd Objection seeking to disallow and expunge several claims, including Franz Proof of Claim 2, because the proof of claim forms were missing blocking numbers.

16. Franz did not receive notice from the Debtors regarding the 92nd Objection and did not have an opportunity to respond to or oppose the 92nd Objection.

17. In light of the fact that Franz did not receive notice of the 92nd Objection or the March 31st Order, he is entitled to reconsideration of the March 31st Order and reinstatement of Franz Proof of Claim 2 which has been amended by the Amended Franz Proof of Claim.

MOTION FOR RECONSIDERATION LEGAL STANDARD

18. Rule 60(b), which is made applicable to bankruptcy cases by Bankruptcy Rule 9024 with three exceptions not relevant here, governs requests for relief from judgments or orders in a bankruptcy court. Rule 60(b) provides, in relevant part:

Mistakes; Inadvertence; Excusable Neglect; Newly Discovered Evidence; Fraud, Etc. On motion and upon such terms as are just, the court may relieve a party or a party's legal representative from a final judgment, order, or proceeding for the following reasons: (1) mistake, inadvertence, surprise, or excusable neglect; (2) newly discovered evidence which by due diligence could not have been discovered in time to move for a new trial under Rule 59(b); (3) fraud (whether heretofore denominated intrinsic or extrinsic), misrepresentation, or other misconduct of an adverse party; (4) the judgment is void; (5) the judgment has been satisfied, released, or discharged, or a prior judgment upon which it is based has been reversed or otherwise vacated, or it is no longer equitable that the judgment should have prospective application; or (6) any other reason justifying relief from the operation of the judgment. The motion shall be made within a reasonable time, and for reasons (1), (2), and (3) not more than one year after the judgment, order, or proceeding was entered or taken.

Fed. R. Civ. P. 60(b).

19. A motion for relief from an order or judgment is within the broad discretion of the court. *See In re AMC Realty Corp.*, 270 B.R. 132, 143 (Bankr. S.D.N.Y. 2001) *citing Nemaizer v. Baker*, 793 F.2d 58, 61-61 (2d Cir. 1986). In exercising this discretion, courts should balance the policy in favor of serving the ends of justice against the policy in favor of finality. *See AMC Realty Corp.*, 270 B.R. at 143; *see also Paddington Partners v. Bouchard*, 34 F.3d 1132, 1144 (2d Cir. 1994).

20. It is well settled that Rule 60(b) should be liberally construed when substantial justice will be served. *See In re Enron Corp.*, 352 B.R. 363, 369 (Bankr. S.D.N.Y. 2006) (*quoting Radack v. Norwegian America Line Agency, Inc.*, 318 F.2d 538, 542 (2d Cir. 1963)). In addition, bankruptcy courts have the power to reconsider, modify or vacate their own orders when the interests of justice require -- so long as no intervening rights have become vested in

reliance on such orders. *See, e.g., Meyer v. Lenox* (In re Lenox, 902 F.2d 737, 739-740 (9th Cir. 1990); *Maxwell Newspapers, Inc. v. The Travelers Indemnity Co. (In re Maxwell Newspapers, Inc.)*, 170 B.R. 549, 550 (S.D.N.Y. 1994). Accordingly, this Court may grant reconsideration of the March 31st Order to the extent requested herein since the interests of justice so require.

21. As more fully set forth below, under the circumstances, the fact that Franz did not receive notice of the 92nd Objection and did not have an opportunity to respond to the 92nd Objection warrants relief from the March 31st pursuant to Rule 60(b)(1) or Rule 60(b)(6).

**THE MARCH 31ST ORDER
SHOULD BE MODIFIED OR VACATED UNDER RULE 60(B)**

22. Franz seek relief under two separate subsections of Rule 60(b) – 60(b)(1) (mistake, inadvertence or excusable neglect) and 60(b)(6) (any other reason that justifies relief). Franz asserts that due to the fact that he did not receive notice of the Debtors' 92nd Objection this Court should exercise its power and reconsider the March 31st Order and reinstate Franz Proof of Claim 2.

**A. Grounds Exist Under Rule 60(b)(1) for the Court to Grant Relief
from the March 31st Order**

23. As stated above, Rule 60(b)(1) states, in pertinent part, that “the court may relieve a party or a party’s legal representative from a final judgment, order, or proceeding for: (1) mistake, inadvertence, surprise or excusable neglect.” Fed. R. Civ. P. 60(b)(1). This rule is to be liberally construed so that doubtful cases may be resolved on the merits. *See Hibernia Nat’l Bank v. Administracion Central Sociedad Anonima*, 776 F.2d 1277, 1279 (5th Cir. 1985).

24. The March 31st Order should be clarified, amended or modified on the basis of mistake, inadvertence and surprise as it relates to Franz Proof of Claim 2. For reasons beyond Franz’s control, the 92nd Objection was not served on him and he never received notice of the March 31st Order.

25. The Debtors sought to expunge Franz Proof of Claim 2 in its entirety solely because the blocking number for the Debtors’ bond were was not specifically set forth in the proof of claim. Such relief is inequitable and not warranted under the circumstances. In addition, Bankruptcy Rule 3001(f) makes clear that an executed proof of claim filed in accordance with the Bankruptcy Rules constitutes prima facie evidence of the validity and amount of the claim. *See Fed. R. Bankr. P. 3001(f)*. More significantly, the 92nd Objection was

not served on Franz and he therefore did not have notice of the 92nd Objection or any opportunity to respond and be heard at the hearing in connection with the 92nd Objection.

26. Franz became aware of the 92nd Objection and the March 31st Order during the Summer of 2011. (See Affidavit of Franz ¶ 10). Prior to that date, he had not received any notice regarding the 92nd Objection. The courts have generally recognized that a party in interest is entitled to notice and should be construed to allow all persons affected by a proceeding to be heard. *See In re Johns Manville Corp.*, 36 B.R. 743, 754 (Bankr. S.D.N.Y. 1994).

27. The lack of notice to Franz raises certain due process and other concerns regarding the 92nd Objection and the March 31st Order. As a result, Franz respectfully request that the Court reconsider the March 31st Order solely as it relates to Franz Proof of Claim 2. Furthermore, this Court should exempt Franz from the effect of the March 31st Order. Such action is clearly justified in light of the fact that Franz did not receive notice of the 92nd Objection or the March 31st Order.

28. Furthermore, it has been well-established in this bankruptcy action that the blocking number system was created to ensure that no distribution on Lehman Program Securities claims was excessive or duplicative. Moreover, this Court recognized that even though the blocking number system was important to the Debtors, the absence of a blocking number on a claim is a minor form of technical noncompliance. Even though the blocking number system has been important and useful to the Debtors, it is not appropriate for the blocking number requirement to be mechanically enforced with no regard to exceptional circumstances.

29. Franz's circumstances are exceptional. In light of the fact that Franz's failure to respond to the 92nd Objection results from the failure to receive notice of the objection, it is unduly harsh to expunge Franz's legitimate claims in this bankruptcy. There is neither need nor is there justification for such a result.

30. Consequently, Franz respectfully request that this Court exercise its power and reconsider the March 31st Order as it relates to his claim and reinstate Franz Proof of Claim 2.

B. Relief From the Sale Order is Warranted Under Section Rule 60(b)(6)

31. Even if relief from the March 31st Order were not warranted under Rule 60(b)(1), which it is, additional grounds exist under Rule 60(b)(6) for such relief.

32. The principal purpose of Rule 60(b)(6) is to cover unforeseen circumstances, such as those present here. Pursuant to Rule 60(b)(6), a court has the authority to grant relief from a judgment or order, whenever, after considering all the relevant circumstances, such an action is deemed appropriate in the furtherance of justice. *See Klapprott v. United States*, 335 U.S. 601, 614, 615, 93 L.Ed. 266, 69 S. Ct. 384 (1949). As the Court declared in *AMC Realty Corp.*, the underlying purpose of Rule 60(b)(6) is “to do justice in a particular case when relief is not warranted by the preceding clauses.” *See AMC Realty Corp.*, 270 B.R. at 143.

33. Clause (6) of Rule 60(b) is properly invoked where “there are extraordinary circumstances justifying relief, ... when the judgment may work an extreme and undue hardship, ... and when the asserted grounds for relief are not recognized in clauses (1)-(5) of the Rule.” *Id.* (emphasis in original) (citing *Nemaizer*, 793 F.2d at 63). The Second Circuit has stated that one or the other of the first two prongs had to be shown, without requiring that both of them be satisfied. *See PRC Harris, Inc.*, 700 F.2d 894, 897 (2d Cir. 1983), *cert. denied*, 464 U.S. 936, 104 S. Ct. 344, 78 L.Ed.2d 311 (1983). In two other cases, the Second Circuit merely identified the need for a showing of extraordinary circumstances.

34. Applying these common standards to the present proceedings regarding Franz and Franz Proof of Claim 2, it is clear that the presence of both exceptional circumstances and undue hardship justifies the invocation of Rule 60(b)(6) to grant the requested relief.

35. The facts clearly show that Franz Proof of Claim 2 was timely filed and complied with most of the requirements in the Debtors’ bankruptcy, except for the blocking numbers. (See Affidavit of Franz, ¶ 24). Accordingly, Franz Proof of Claim 2 is *prima facie* evidence of the validity of Franz’s claim. A timely filed proof of claim constitutes *prima facie* evidence of the amount and validity of the claim. *See 11 U.S.C. § 502(a)*; *see also Stancil v. Harford Sands, Inc. (In re Harford Sands, Inc.)*, 372 F.3d 637, 640 (4th Cir. 2004); *In re 183 Lorraine St. Assoc.*, 198 B.R. 16, 26 (Bankr. E.D.N.Y. 1996); *Fed.R.Bankr.P. 3001(f)*. Consequently, Franz is entitled to an opportunity to officially respond to the 92nd Objection.

36. The facts further show that Franz did not receive notice of the 92nd Objection or the March 31st Order. (See Affidavit of Franz, ¶¶ 19-22). Immediately upon learning of the 92nd Objection and the March 31st Order, Franz immediately contacted counsel for the Debtors to explain that he did not receive notice of the 92nd Objection. (See Affidavit of Franz, ¶¶ 25-26).

37. Had Franz received notice of the 92nd Objection, he would have promptly responded, as he did with respect to the Debtors' One Hundred Fortieth Omnibus Objection. (See Affidavit of Franz, ¶ 20).

38. In addition, the Franz Proof of Claim 2, as amended by the Amended Franz Claim is otherwise sufficient and contains all the information necessary for the Debtors to determine the validity of Franz's claim in this bankruptcy action. The Debtors objected to Franz Proof of Claim 2 solely due to its lack of blocking numbers. However, the basis of Franz Proof of Claim 2, and Franz's ownership of certain Lehman Program Securities has been supported by the appropriate documentation and is undisputed. Thus, even without the blocking numbers, Franz Proof of Claim 2, as amended by the Amended Franz Claim Franz's Claim is legally sufficient.

39. Furthermore, Franz attempted to obtain blocking numbers for the Lehman bonds prior to filing Franz Proof of Claim 2 on September 15, 2009, and prior to filing the Amended Franz Claim on August 24, 2011. (See Affidavit of Franz, ¶ 26 and ¶ 31). Given Franz's careful, conscientious and persistent effort to obtain the blocking numbers and his inability to do so through no fault of his own, Franz's claim in the bankruptcy action should not be disallowed, notwithstanding the blocking number requirement.

40. Therefore, Franz is entitled to the reinstatement of Franz Proof of Claim 2 which has been amended by the Amended Franz Proof of Claim, and he should be granted an opportunity to respond to the Debtor's 92nd Objection. Reopening the process to Franz who timely filed a proof of claim and otherwise properly documented his holdings would not impose an undue burden on the Debtors, and would strike an appropriate balance between the ability of the Debtors to properly identify the holders of their program securities and the right of investors to receive whatever their eventual distribution will be in this bankruptcy.

41. Therefore, Franz respectfully requests that this Court exercise its power and reconsider the March 31st Order and reinstate Franz Proof of Claim 2 pursuant to Rule 60(b)(6).

C. Relief From the March 31st Order is Warranted Under Section 105 of the Bankruptcy Code

42. This Court has the authority under Section 105(a) of the Bankruptcy Code to modify its own order, including the March 31st Order, to afford parties in these proceedings substantial justice. *See, e.g., In re Rideout*, 86 B.R. 523, 530 (Bankr. N.D. Ohio 1988)

(bankruptcy court may use its inherent power to correct its own mistakes under Section 105(a)); *In re Riso*, 48 B.R. 244, 247 (Bankr. D. N.H. 1985) (“the equitable powers of the bankruptcy court can be used to fill a gap in an unusual procedural situation without doing violence to specific provisions of the bankruptcy laws”), *aff’d*, 57 B.R. 789 (D.N.H. 1986). Moreover, this Court possesses inherent equitable power to set aside an order that contravenes the Bankruptcy Code where the parties can be restored to the positions they occupied before the Court entered such order.

43. There is little doubt that Proof of Claim 2 filed by Franz satisfies the requirements of the Bankruptcy Rules as it was signed and timely-filed, and details the nature of the claim and the amount sought were provided to the Debtors. The only information alleged to be missing from Franz Proof of Claim 2 is the blocking number. The purpose of requiring claimants to obtain a blocking number is to confirm the ownership and amount of a particular security. The facts clearly show that Franz Proof of Claim 2 and supporting documentation submitted by Franz satisfy these goals by clearly setting forth both ownership and amount of the securities at issue. Franz Proof of Claim 2 constitutes prima facie evidence of the validity and amount of his claim, and the Debtors cannot satisfy their burden in refuting the validity of the claim. Expungement of Franz Proof of Claim 2 is therefore inappropriate under the exceptional circumstances of this case.

44. The Debtors cannot dispute that Franz has submitted a claim that complies in all respects with the ordinary requirements of case law, the Bankruptcy Code, and the Bankruptcy Rules, so as to constitute, under Rule 3001(f), prima facie evidence of the validity and amount of the claim.

45. Furthermore, it is undisputed that the only deficiency of Franz’s Claim is the absence of blocking numbers, and although blocking numbers are required by this Court’s order, blocking numbers are not essential to the legal sufficiency of the Claim. The failure to submit the blocking numbers along with Franz Proof of Claim 2 does not jeopardize this bankruptcy and should not cause Franz to forfeit his claim against the Debtors.

46. Accordingly, pursuant to Section 105(a) of the Bankruptcy Code, the March 31st Order can and should be clarified, amended or modified as it relates to Franz Proof of Claim 2.

MEMORANDUM OF LAW

Because the legal points and authorities upon which this Motion relies are incorporated herein, Franz respectfully requests that the requirement of service and filing of a separate memorandum of law pursuant to Rule 9013-1(b) of Local Bankruptcy Rules for the United States Bankruptcy Court for the Southern District of New York be deemed satisfied.

NO PRIOR REQUEST

No previous request for the relief sought herein has been made to this Court or any other court.

RESERVATION OF RIGHTS

Franz reserves the right to make such other and further objections and to supplement or revise this Cross-Motion as may be appropriate

CONCLUSION

For the reasons set forth above, Franz respectfully requests that this Court (i) reconsider the March 31st Order as it relates to Franz Proof of Claim 2 as amended by the Amended Franz Claim, (ii) reinstate Franz Proof of Claim 2 as amended by the Amended Franz Claim, (iii) grant Franz an opportunity to response to the 92nd Objection and (iv) grant Franz such other and further relief as the Court deems appropriate.

Dated: November 23, 2011

Respectfully submitted,
WHITE AND WILLIAMS LLP

By: *Sedgwick M. Jeanite*
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New York, NY 10119
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UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

_____	x	
In re:	:	CHAPTER 11
	:	
LEHMAN BROTHERS HOLDINGS INC., et al.,	:	Case No. 08-13555 (JMP)
	:	
Debtors.	:	(Jointly Administered)
_____	x	

AFFIDAVIT OF ROBERT FRANZ IN RESPONSE TO THE DEBTORS'
TWO HUNDRED TWENTY-FOURTH OMNIBUS OBJECTION AND
IN SUPPORT OF MOTION FOR RECONSIDERATION AND
REINSTATEMENT OF PROOF OF CLAIM

STATE OF New York)
COUNTY OF New York): ss

ROBERT FRANZ, being duly sworn, deposes and says:

1. I am an individual investor in several bonds of Lehman Brothers Holdings Inc. ("LBHI") in my investment accounts.
2. I submit this Affidavit (1) in Response to the Debtors' Two Hundred Twenty-Fourth Omnibus Objection, (2) in support of my motion for reconsideration of the Court's March 31, 2011 Order that expunged the proof of claim filed by me on September 15, 2009, and (3) requesting reinstatement of my disallowed proof of claim to permit me to respond to LBHI's Ninety-Second Omnibus Objection.
3. On September 15, 2008, LBHI filed a voluntary petition for relief in the Southern District of New York (the "LBHI Bankruptcy") under Chapter 11 of Title 11 of the United States Code (the "Bankruptcy Code"). Subsequently, twenty-two (22) additional affiliates of LBHI (together with LBHI, the "Debtors") filed petitions for relief under the Bankruptcy Code.
4. On or about April 16, 2009, I promptly and timely filed a proof of claim in the LBHI Bankruptcy in the amount of \$1,665,263.82, which was assigned Claim Number 3802

(“Franz Proof of Claim 1”). My address was listed as 81 Dixon Avenue, Boonton, NJ 07005. A copy of the Franz Proof of Claim 1 is attached hereto as Exhibit A.

5. On July 2, 2009, this Court entered an Order (the “Bar Date Order”), establishing the following bar dates: (1) a September 22, 2009 bar date for filing unsecured claims (the “General Claims Bar Date”), and (2) a November 2, 2009 bar date for filing claims arising out of described program securities (the “Securities Program Bar Date”). Thereafter, the Debtors filed the Lehman Programs Securities list identifying all of the securities which are the subject of the LBHI Bankruptcy.

6. Upon realizing that I invested in additional bonds identified on the Lehman Programs Securities list, I immediately and diligently began preparation of a second proof of claim to be filed in the LBHI Bankruptcy.

7. On or about September 15, 2009, I timely filed a second proof of claim in the amount of \$2,128,189.23, which was assigned Claim Number 13065 (“Franz Proof of Claim 2”). My address was listed as 81 Dixon Avenue, Boonton, NJ 07005 in Franz Proof of Claim 2, a copy of which is attached hereto as Exhibit B.

8. In early June 2011, as a result of a random “Google” search I learned of the Debtors’ One Hundred Fortieth Omnibus Objection to Claims (Duplicative of Indenture Trustee Claims). Therein, the Debtors sought the disallowance and expungement of the claims listed on Exhibit A annexed thereto, including Franz Proof of Claim 1.

9. I retained counsel and timely filed a Response to the Debtors’ One Hundred Fortieth Omnibus Objection on June 15, 2011. A copy of the Response is attached hereto as Exhibit C.

10. However, a further search of the LBHI Bankruptcy docket during the Summer of 2011 revealed that Franz Proof of Claim 2 was also the subject of a separate objection by the Debtors. Specifically, the Franz Proof of Claim 2 was identified in the Debtors' Ninety-Second Omnibus Objection to Claims (No Blocking Number LPS Claims) filed on or about February 14, 2011 (the "92nd Objection"). According to the 92nd Objection, the Debtors sought to disallow and expunge several claims, because the proof of claim forms were missing blocking numbers.

11. Unknown to me, on or about March 31, 2011, this Court entered an Order granting the 92nd Objection with respect to claims listed on Exhibit 1 annexed hereto (the "March 31st Order"). Franz Proof of Claim 2 was listed on Exhibit 1 of the March 31st Order, a copy of which is attached hereto as Exhibit D.

12. I immediately contacted counsel for the Debtors to explain that I did not receive notice of the 92nd Objection. Despite my explanation, counsel did not render any assistance or guidance regarding my situation.

13. Therefore, on or about August 24, 2011, I caused to be filed an amended proof of claim covering those Lehman Bonds identified in Franz Proof of Claim 2 and additional bonds of the Debtors owned by me that I presumed would be represented by a trustee in Europe in one master Proof of Claim in the LBHI Bankruptcy (the "Amended Franz Proof of Claim"). A copy of the Amended Franz Proof of Claim is attached hereto as Exhibit E.

14. I respectfully request that this Court deem the Amended Franz Proof of Claim attached hereto, as a timely filed amendment to Franz Proof of Claim 2, that the Court reinstate my claim and allow me an opportunity to respond to the 92nd Objection.

**Response to the Debtors'
Two Hundred Twenty-Fourth Omnibus Objection**

15. I respectfully request that the Court deny the Debtors' Two Hundred Twenty-Fourth Omnibus Objection as it relates to the Amended Franz Proof of Claim.

16. The facts clearly show that the Amended Franz Proof of Claim is not a late-filed proof of claim. It is simply an amendment of the timely-filed Franz Proof of Claim 2.

17. In addition, since I did not receive notice of the 92nd Objection or the March 31st Order, I am entitled to the reinstatement of Franz Proof of Claim 2 which has been amended by the Amended Franz Proof of Claim.

18. Consequently, the Debtors should not be permitted to disallow or expunge the Amended Franz Proof of Claim and I respectfully request that this Court deny the Two Hundred Twenty-Fourth Omnibus Objection as it relates to the Amended Franz Proof of Claim.

**Reconsideration of the March 31st Order
and Reinstatement of the Amended Franz Proof of Claim**

19. I respectfully request that this Court reconsider the March 31st Order with respect to Franz Proof of Claim 2 and reinstate my claim because assuming the Debtors promptly mailed a copy of the 92nd Objection, it was never delivered to me. Thus, I did not receive any notice, correspondence, mailing or communication regarding the 92nd Objection, and did not have an opportunity to respond to the 92nd Objection.

20. A plausible explanation for why I did not receive notice of the 92nd Objection is that in July, 2010, I moved from 81 Dixon Avenue, Boonton, New Jersey to 6 Bayer Lane, Boonton, New Jersey. For a period of six (6) months, from May 15, 2010 to November 15, 2010, my mail was forwarded from the 81 Dixon Avenue address to the 6 Bayer Lane address. However, because the 92nd Objection was filed in February 2011 and my mail was no longer forwarded to me, I did not receive any notice regarding the 92nd Objection. Had I received a

copy of the 92nd Objection, I would have promptly filed a response with the Court as I did with the Debtors' One Hundred Fortieth Omnibus Objection.

21. The present circumstances were unforeseen by me when I filed Franz Proof of Claim 2 and the result is absolutely unfair. This is an exceptional circumstance, and is not due to a mistake by me or due to an oversight or inattention that was within my control. On the contrary, my circumstance falls within the standard requiring reconsideration or relief from the Court's March 31st order because I could not timely respond to the 92nd Objection that I never received.

22. First, as explained above, the reason for the delay in responding to the 92nd Objection is that I moved from 81 Dixon Avenue, Boonton, New Jersey to 6 Bayer Lane, Boonton, New Jersey and never received notice of the Debtors' 92nd Objection. Thus, my failure to respond is certainly understandable in light of the fact that I did not know about the 92nd Objection until Summer 2011.

23. Second, there has been only a small delay in my responding to the 92nd Objection. The deadline to respond to the 92nd Objection was March 16, 2011, but unfortunately, I did not receive notice of the 92nd Objection, and I acknowledge that I am responding several months later. However, this delay and potential impact on the judicial proceedings is inconsequential. Clearly, the claim resolution process is far from complete, so the minor delay in the receipt of a Response by me regarding the 92nd Objection will not prejudice the Debtors. Furthermore, the Debtors are continuing to review and resolve objections raised by other creditors to the 92nd Objection. In fact the hearing on the 92nd Objection was adjourned several times, most recently on October 24, 2011 regarding approximately 95 claims. See

Exhibit F attached hereto. Allowing me to file a response to the 92nd Objection at this time will not delay the judicial proceedings in any way.

24. Third, there is a complete absence of prejudice to the Debtors in allowing the Amended Franz Proof of Claim. In fact, there is no material prejudice to the Debtors if this Court grants me an opportunity to respond to the 92nd Objection. The Second Franz Claim was timely filed on September 15, 2009 and identified each of the bonds constituting the claim, and the Debtors were clearly on notice of my claim. In addition, the claims review process is still in its preliminary stages and the Debtors are still reviewing hundreds if not thousands of claims that have been filed.¹ Clearly, there remains several open and unresolved issues between the Debtors and its bondholders as they relate to the blocking number issue. In fact, on October 24, 2011, the Debtors adjourned the hearing on the 92nd Objection (No Blocking Numbers) for about 95 claims and withdrew the 92nd Objection as to another claimant. See Exhibit G attached hereto. Therefore, reconsideration of the March 31st Order as it relates to Franz Proof of Claim 2 will not prejudice the Debtors in any way and is a fair exercise of this Court's broad discretion.

25. Fourth, I have operated in good faith in the LBHI Bankruptcy. The record clearly reflects that I made a conscientious endeavor to comply with the Bar Date Order. The record further reflects that I promptly and timely filed my respective proofs of claim in the LBHI Bankruptcy. The Debtors cannot dispute that I timely submitted two proof of claim forms that comply in all respects with the ordinary requirements of case law, the Bankruptcy Code, and the Bankruptcy Rules, so as to constitute evidence of the validity and amount of the claim.

¹ In Franz Proof of Claim 2, I assert a claim in the amount of \$2,128,189.33, which is now amended to a claim for \$8,354,024.08. Putting this amount in perspective, this Court need only look at the State of the Estate report prepared by LBHI's financial advisors which reflects that approximately \$860 billion in total claims have been filed against the Debtors.

Furthermore, upon learning of the 92nd Objection and the March 31st Order, I have taken diligent steps to resolve this unfortunate situation.

26. First, I immediately contacted counsel for the Debtors to explain the fact that I did not receive notice of the 92nd Objection. Second, I promptly attempted to obtain the blocking number for the bonds identified in Franz Proof of Claim 2. Notwithstanding several requests, I was advised by my broker, Tradition Asiel Securities, Inc, that Euroclear Bank and Clearstream Bank no longer provide blocking numbers for the securities of the Debtors.

27. Therefore, based on the fact that I changed residences in 2010 and did not receive a copy of the 92nd Objection, the delay in filing a response is excusable. It is unduly harsh to expunge my timely filed, valid and legitimate claim contained in Franz Proof of Claim 2 (as amended by the Amended Franz Proof of Claim) and there is no justification for such a result. In this instance, the lack of prejudice to the Debtors, my explanation for not responding to the 92nd Objection, combined with the good faith established by me weigh strongly in favor of this Court reconsidering the March 31st Order as it relates to Franz Proof of Claim 2.

The Debtors' 92nd Objection

28. I respectfully request an opportunity to officially respond to the 92nd Objection.

29. Franz Proof of Claim 2 was timely filed and complied with most of the requirements in the LBHI bankruptcy, except for the blocking numbers.

30. It is my understanding that in this bankruptcy action, the blocking number system was created to ensure that no distribution regarding Lehman Program Securities claims was excessive or duplicative. I am told that this Court recognized that even though the blocking number system was important to the Debtors, the absence of a blocking number on a claim is a minor form of technical noncompliance.

31. I contacted my broker requesting blocking numbers before the bar date but they were not familiar with the process. I believe it is inappropriate for the blocking number requirement to be mechanically enforced with no regard to exceptional circumstances, especially as the bar date was quickly approaching in September 2009.

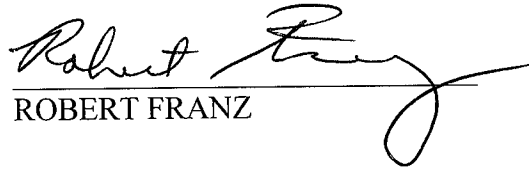
32. As explained above, upon learning of the March 31st Order, I filed the Amended Franz Proof of Claim which covers the original bonds identified in Franz Proof of Claim 2 and certain additional bonds of the Debtors owned by me. The Amended Franz Proof of Claim contains additional information regarding the bonds that hopefully will provide the Debtors with comfort that any distribution to me will not be excessive or duplicative. I am the rightful owner of the bonds identified in the Amended Franz Proof of Claim and I am entitled to assert a claim for distribution in the LBHI Bankruptcy.

33. I respectfully request that this Court deem the Amended Franz Proof of Claim as a timely filed amendment to the Franz Proof of Claim 2 and that it relates back to the September 15, 2009 filing. At the very least, I should be given an opportunity to submit a Response to the 92nd Objection.

34. Based on the foregoing, and the accompanying Cross-Motion, I respectfully request that this Court (1) deny the Debtors' Two Hundred Twenty-Fourth Omnibus Objection as it relates to the Amended Franz Proof of Claim, (2) reconsider the March 31st Order as it relates to Franz Proof of Claim 2, (3) reinstate Franz Proof of Claim 2 which has been amended by the Amended Franz Proof of Claim, and (4) allow me an opportunity to properly respond to the 92nd Objection in this LBHI Bankruptcy.

35. This Court has previously granted such requests for reinstatement based on similar facts. See Exhibit H attached hereto.

36. I declare under penalty of perjury that the foregoing is true and correct.


ROBERT FRANZ

Sworn and subscribed to before
me this 14th day of November, 2011


Notary Public

RODNEY C. DAVIS
Notary Public, State of New York
No. 31-4805362
Qualified in New York County
Commission Expires Dec. 31, 2014

EXHIBIT A

PROOF OF CLAIM

Case Number:
08-13555

☐ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number:
(If known)

Filed on:

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

☐ Check this box if you are the debtor or trustee in this case.

5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any portion of your claim falls in one of the following categories, check the box and state the amount.

Specify the priority of the claim.

☐ Domestic support obligations under 11 U.S.C. §507(a)(1)(A) or (a)(1)(B).

☐ Wages, salaries, or commissions (up to \$10,950*) earned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier - 11 U.S.C. §507 (a)(4).

☐ Contributions to an employee benefit plan – 11 U.S.C. §507 (a)(5).

☐ Up to \$2,425* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use – 11 U.S.C. §507 (a)(7).

☐ Taxes or penalties owed to governmental units – 11 U.S.C. §507 (a)(8).

☐ Other – Specify applicable paragraph of 11 U.S.C. §507 (a)().

Amount entitled to priority:

\$ _____

**Amounts are subject to adjustment on 4/1/10 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment.*

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ROYED AFTER

Date: 4/14/09

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

FOR COURT USE ONLY

FILED / RECEIVED

APR 16 2009

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 357.

EPIC BANKRUPTCY SOLUTIONS, LLC

Summary of Robert Franz's Holdings

Bond	Cusip	Quantity	Face	Coupon	Days	Interest	Net
LEH 7.2 08/15/09	524908bq2	10	\$10,000.00	7.2	33	\$66.00	\$10,066.00
LEH 5.857 11/29/49	524908xa3	140	\$140,000.00	5.857	108	\$2,459.94	\$142,459.94
LEH StrNt 10/20/20	52517pc25	10	\$10,000.00			\$0.00	\$10,000.00
LEH StrNt 11/10/15	52517pc66	70	\$70,000.00		8	\$0.00	\$70,000.00
LEH 0 12/23/10	52517pd65	26	\$26,000.00		87	\$0.00	\$26,000.00
LEH StrNt 05/17/21	52517ph46	120	\$120,000.00		31	\$0.00	\$120,000.00
LEH 6 1/202/13/37	52517ps69	50	\$50,000.00	6	35	\$291.67	\$50,291.67
LEH 6 1/206/21/37	52517pw23	26	\$26,000.00	6	87	\$377.00	\$26,377.00
LEH StrNt 01/28/20	52517pym7	35	\$35,000.00			\$0.00	\$35,000.00
LEH StrNt 02/09/17	52517pyq8	15	\$15,000.00		9	\$0.00	\$15,000.00
LEH StrNt 03/23/20	52517pyw5	100	\$100,000.00		85	\$0.00	\$100,000.00
LEH StrNt 05/24/22	52517p2h3	30	\$30,000.00			\$0.00	\$30,000.00
LEH 5.7 01/28/18	52519fae1	16	\$16,000.00	5.7	3	\$7.60	\$16,007.60
LEH 5.55 02/11/18	52519fal5	5	\$5,000.00	5.55	3	\$2.31	\$5,002.31
LEH 5 1/202/19/18	52519fam3	10	\$10,000.00	5	3	\$4.17	\$10,004.17
LEH 5 1/203/14/23	52519fau5	10	\$10,000.00	5	4	\$5.56	\$10,005.56
LEH 5.4 03/20/20	52519fav3	25	\$25,000.00	5.4	3	\$11.25	\$25,011.25
LEH 5 1/405/20/23	52519fbe0	10	\$10,000.00	5	28	\$38.89	\$10,038.89
LEH StrNt 05/30/23	52519fbg5	72	\$72,000.00		18	\$0.00	\$72,000.00
LEH 5 1/210/07/23	52519fbv2	5	\$5,000.00	5	11	\$7.64	\$5,007.64
LEH 6 10/23/28	52519fby6	5	\$5,000.00	6	25	\$20.83	\$5,020.83
LEH 6 11/18/28	52519fcb5	33	\$33,000.00	6	0	\$0.00	\$33,000.00
LEH 5.6 02/17/29	52519fcj8	10	\$10,000.00	5.6	1	\$1.56	\$10,001.56
LEH 5.6 02/24/29	52519fck5	16	\$16,000.00	5.6	24	\$59.73	\$16,059.73
LEH 5.4 03/30/29	52519fcn9	10	\$10,000.00	5.4	18	\$27.00	\$10,027.00
LEH 5.45 04/06/29	52519fcp4	25	\$25,000.00	5.45	12	\$45.42	\$25,045.42
LEH 6 04/30/34	52519fcr0	104	\$104,000.00	6	138	\$2,392.00	\$106,392.00
LEH 5.9 05/04/29	52519fct6	11	\$11,000.00	5.9	14	\$25.24	\$11,025.24
LEH 6 05/11/29	52519fcu3	2	\$2,000.00	6	127	\$42.33	\$2,042.33
LEH 6.2 05/25/29	52519fcv1	47	\$47,000.00	5.2	23	\$156.14	\$47,156.14
LEH 6.05 06/29/29	52519fcw9	25	\$25,000.00	6.05	19	\$79.83	\$25,079.83
LEH 6 07/30/34	52519fcx7	40	\$40,000.00	6	18	\$120.00	\$40,120.00
LEH 6 07/20/29	52519fcy5	37	\$37,000.00	6	28	\$172.67	\$37,172.67
LEH 5.7 09/07/29	52519fdd0	10	\$10,000.00	5.7	11	\$17.42	\$10,017.42
LEH 5.7 12/14/29	52519fdj7	60	\$60,000.00	5.7	4	\$38.00	\$60,038.00
LEH 5.55 12/31/34	52519fdk4	6	\$6,000.00	5.55	18	\$16.65	\$6,016.65
LEH 5.55 01/25/30	52519fdm0	11	\$11,000.00	5.55	23	\$39.00	\$11,039.00
LEH 5.8 10/25/30	52519fed9	5	\$5,000.00	5.8	23	\$18.53	\$5,018.53
LEH 6.15 04/11/31	52519fel1	6	\$6,000.00	6.15	7	\$7.18	\$6,007.18
LEH 6 1/209/20/27	52519fet4	10	\$10,000.00	6	178	\$296.67	\$10,296.67
LEH 6 1/201/17/33	52519ffb2	20	\$20,000.00	6	61	\$203.33	\$20,203.33
LEH 6 1/402/05/21	52519ffe6	174	\$174,000.00	6	43	\$1,247.00	\$175,247.00
LEH 6 1/203/06/23	52519ffh9	35	\$35,000.00	6	12	\$70.00	\$35,070.00
LEH 7 1/404/29/38	52519ffm8	75	\$75,000.00	7	139	\$2,027.08	\$77,027.08
LEH 7.35 05/06/38	52519ffn6	25	\$25,000.00	7.35	132	\$673.75	\$25,673.75
LEH 7 02/08/38	5252m0cb1	25	\$25,000.00	7	40	\$194.44	\$25,194.44
LEH StrNt 02/14/23	5252m0cm7	42	\$42,000.00			\$0.00	\$42,000.00

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\$11,263.82 \$1,665,263.82

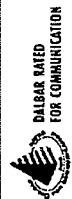
Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
GENERAL MTRS CORP DEB									
8.100% 06/15/24 B/E DTD 06/10/96									
CALLABLE 06/15/09 @ 102.704									
Moody Rating C S & P Rating C									
Security Identifier: 370442AV7									
50,000.00 of these shares are in your margin account									
50,000.000	12/23/08	16.3600	8,145.13	12.0000	6,000.00	-2,145.13	821.25	4,050.00	67.50%
Original Cost Basis: \$8,180.00									
HARRAHS OPER INC 2ND PRIORITY SR SEC									
NT 144A 10.000% 12/15/18 B/E									
DTD 12/24/08 CALLABLE 12/15/13									
S & P Rating CCC-									
Security Identifier: 413627BC3									
4,194.000	01/06/09	38.5070	1,620.52	28.0000	1,174.32	-446.20	74.56	419.40	35.71%
Original Cost Basis: \$1,615.00									
LEAR CORP ZERO CPN CONV SR NT									
0.000% 02/20/22 B/E DTD 02/20/02									
CALLABLE 02/20/10 @ 56.931									
Moody Rating CAA2 S & P Rating CCC									
Security Identifier: 521865AG0									
10,000.00 of these shares are in your margin account									
10,000.000	05/20/08	47.6060	4,760.60	54.0000	5,400.00	639.40	0.00		
Original Cost Basis: \$4,555.00									
LEHMAN BROTHERS HLDGS SR NTS									
7.200% 08/15/09 B/E DTD 08/19/97									
SECURITY IN DEFAULT 1ST CPN DTE 02/15/98									
CPN PMT SEMI ANNUAL ON FEB 15 AND AUG 15									
Moody Rating B3 S & P Rating D									
Security Identifier: 524908BQ2									
10,000.00 of these shares are in your margin account									
10,000.000	09/16/08	29.5500	5,261.85	13.0000	1,300.00	-3,961.85	0.00		
Original Cost Basis: \$2,955.00									
LEHMAN BROTHERS HLDGS INC MANDATORY									
CAP ADVATAGED PFD SECS-MCAPS									
0.000% 05/17/27 B/E DTD 05/17/07									
CALLABLE SECURITY IN DEFAULT									
1ST CPN DTE 11/30/07 CPN PMT SEMI ANNUAL									
ON MAY 31 AND NOV 31									
Moody Rating CA S & P Rating D									
Security Identifier: 524908XA3									

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B0064860CSF30023



Account Number: 40A-025317
ROBERT C FRANZ

PAP-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

Brokerage **Account Statement**

Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROTHERS HLDGS INC MANDATORY (continued)									
140,000.00	09/17/08	0.2700	378.00	0.0100	14.00	-364.00	0.00		
140,000.00 of these shares are in your margin account									
Original Cost Basis: \$378.00									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 0.000% 10/20/20 B/E									
DTD 10/20/05 CALLABLE 04/20/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 01/20/06 CPN PMT QUARTERLY									
ON J.A.J.O 20									
Moody Rating B3 S & P Rating D									
Security Identifier 52517PC25									
10,000.00	12/15/08	4.2800	428.00	7.0000	700.00	272.00	0.00		
10,000.00 of these shares are in your margin account									
Original Cost Basis: \$428.00									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 6.75% 11/10/15 B/E									
DTD 11/10/05 SECURITY IN DEFAULT									
1ST CPN DTE 12/10/05 CPN PMT MONTHLY									
ON 10									
Moody Rating B3 S & P Rating D									
Security Identifier 52517PC56									
50,000.00	12/08/08	5.5760	2,789.72	12.2500	6,125.00	3,335.28	0.00		
50,000.00 of these shares are in your margin account									
Original Cost Basis: \$2,788.00									
20,000.00	01/26/09	7.1350	1,430.38	12.2500	2,450.00	1,019.62	0.00		
Original Cost Basis: \$1,427.00									
70,000.00			\$4,220.10		\$8,575.00	\$4,354.90	\$0.00	\$0.00	
Total									

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 0.000% 12/23/10 B/E									
DTD 12/21/05 SECURITY IN DEFAULT									
1ST CPN DTE 03/23/06 CPN PMT QUARTERLY									
ON M.J.S.D 23									
Moody Rating A2 S & P Rating A									
Security Identifier: 52517PD65									
26,000.00 of these shares are in your margin account									
26,000.000	09/15/08	36.8570	9,582.78	12.2500	3,185.00	-6,397.78	0.00		
Original Cost Basis: \$7,468.00									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS TRANCHE # TR 00635									
0.000% 05/17/21 B/E DTD 05/17/06									
CALLABLE 05/17/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 08/17/06									
CPN PMT QUARTERLY ON F,M,A,N 17									
Moody Rating A1 S & P Rating A+									
Security Identifier: 52517PH46									
120,000.000	10/21/08	8.1760	9,811.00	14.0000	16,800.00	6,989.00	0.00		
Original Cost Basis: \$9,811.00									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 6.500% 02/13/37 B/E									
DTD 02/13/07 CALLABLE 08/13/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 08/13/07 CPN PMT SEMI ANNUAL									
ON FEB 13 AND AUG 13									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517PS69									
50,000.00 of these shares are in your margin account									
50,000.000	10/27/08	8.7350	4,406.22	8.2020	4,101.00	-305.22	0.00		
Original Cost Basis: \$4,367.50									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 6.500% 06/21/37 B/E									
DTD 06/21/07 CALLABLE 06/21/12									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 12/21/07 CPN PMT SEMI ANNUAL									
ON JUN 21 AND DEC 21									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517PW23									
26,000.000	01/26/09	7.7100	1,994.18	12.2500	3,185.00	1,190.82	0.00		
Original Cost Basis: \$2,004.50									

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Account Number: 40A-025317
ROBERT C FRANZ

PAR-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage **Account Statement**

Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Date	Acquisition	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)										
Corporate Bonds (continued)										
LEHMAN BROTHERS HLDGS INC										
MEDIUM TERM NOTES 0.000% 01/28/20 B/E										
DTD 01/28/05 CALLABLE 04/28/09										
@ 100.000 SECURITY IN DEFAULT										
1ST CPN DTE 04/28/05 CPN PMT QUARTERLY										
ON J.A.J.O 28										
Moody Rating B3 S & P Rating D										
Security Identifier: 52517PYM7										
35,000.00 of these shares are in your margin account										
25,000.000		12/03/08	5.5120	1,378.00	12.2500	3,062.50	1,684.50	0.00		
Original Cost Basis: \$1,378.00										
10,000.000		12/15/08	4.2800	428.00	12.2500	1,225.00	797.00	0.00		
Original Cost Basis: \$428.00										
35,000.000		Total		\$1,806.00		\$4,287.50	\$2,481.50	\$0.00	\$0.00	
LEHMAN BROTHERS HLDGS INC										
MEDIUM TERM NTS TRANCHE # TR 00543										
0.000% 02/09/17 B/E DTD 02/09/05										
SECURITY IN DEFAULT 1ST CPN DTE 03/09/05										
CPN PMT MONTHLY ON 09										
Moody Rating B3 S & P Rating D										
Security Identifier: 52517PYQ8										
15,000.00 of these shares are in your margin account										
15,000.000		11/18/08	7.8020	1,170.32	12.2500	1,837.50	667.18	0.00		
Original Cost Basis: \$1,165.50										
LEHMAN BROTHERS HLDGS INC										
MEDIUM TERM NTS VAR TRANCHE # TR 00549										
0.000% 03/23/20 B/E DTD 03/23/05										
CALLABLE 03/23/09 @ 100.000										
SECURITY IN DEFAULT 1ST CPN DTE 06/23/05										
CPN PMT QUARTERLY ON M.J.S.D 23										
Moody Rating B3 S & P Rating D										
Security Identifier: 52517PYW5										

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Account Number: 40A-025317
ROBERT C FRANZ

PAR-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROTHERS HLDGS INC (continued)									
100,000,000	10/21/08	8.8450	8,844.84	12.2500	12,250.00	3,405.16	0.00		
Original Cost Basis: \$8,186.00									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 0.000% 05/24/22 B/E									
DTD 05/24/07 CALLABLE 05/24/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 08/24/07 CPN PMT QUARTERLY									
ON F.M.A.N 24									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517P2H3									
30,000.00 of these shares are in your margin account									
30,000,000	12/15/08	5.1270	1,538.00	13.0000	3,900.00	2,362.00	0.00		
Original Cost Basis: \$1,538.00									
LEHMAN BROS HLDGS INC LEHMAN NOTES									
5.700% 01/28/18 B/E DTD 01/28/03									
CALLABLE 03/15/09 @ 100,000									
SECURITY IN DEFAULT 1ST CPN DTE 02/15/03									
CPN PMT MONTHLY ON 15									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FAE1									
16,000,000	10/21/08	7.7060	1,238.70	13.0000	2,080.00	841.30	0.00		
Original Cost Basis: \$1,233.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
5.550% 02/17/18 B/E DTD 02/11/03									
CALLABLE 03/15/09 @ 100,000									
SECURITY IN DEFAULT 1ST CPN DTE 03/15/03									
CPN PMT MONTHLY ON 15									
Moody Rating B3									
Security Identifier: 52519FAL5									
5,000,000	10/21/08	8.1600	410.16	13.0000	650.00	239.84	0.00		
Original Cost Basis: \$408.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANSCHE # TR 00012 5.500% 02/19/18 B/E									
DTD 02/19/03 CALLABLE 03/15/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 03/15/03 CPN PMT MONTHLY									
ON 15									
Moody Rating B3									
Security Identifier: 52519FAM3									

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage **Account Statement**

Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS (continued)									
10,000.000	10/21/08	7.8300	787.06	13.0000	1,300.00	512.94	0.00		
Original Cost Basis: \$783.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANSCHE# TR 00019 5.500% 03/14/23 B/E									
DTE 03/14/03 CALLABLE 03/14/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 04/14/03 CPN PMT MONTHLY									
ON 14									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FAU5									
10,000.00 of these shares are in your margin account									
10,000.000	09/18/08	10.2800	1,028.91	13.0000	1,300.00	271.09	0.00		
Original Cost Basis: \$1,028.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
5.400% 03/20/20 B/E DTE 03/25/03									
CALLABLE 03/15/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 04/15/03									
CPN PMT MONTHLY ON 15									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FAV3									
25,000.000	10/09/08	9.7200	2,439.25	13.0000	3,250.00	810.75	0.00		
Original Cost Basis: \$2,430.00									
LEHMAN BROS HLDGS INC LEHMAN NOTES									
5.250% 05/20/23 B/E DTE 05/20/03									
CALLABLE 03/20/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 06/20/03									
CPN PMT MONTHLY ON 20									
Moody Rating B3									
Security Identifier: 52519FBEO									
10,000.00 of these shares are in your margin account									
10,000.000	11/18/08	7.7800	778.03	13.0000	1,300.00	521.97	0.00		
Original Cost Basis: \$778.00									

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS									
5,000.00	05/30/23 B/E								
5,000.00	05/30/23 B/E DTD 05/30/03								
CALLABLE 02/28/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 05/30/03									
CPN PMT MONTHLY ON 30									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FBG5									
65,000.00 of these shares are in your margin account									
7,000.00	10/09/08	10,2860	721.42	13.0000	910.00	188.58	0.00		
Original Cost Basis: \$720.00									
65,000.00	12/03/08	6,0050	3,904.43	13.0000	8,450.00	4,545.57	0.00		
Original Cost Basis: \$3,903.00									
72,000.00	Total		\$4,625.85		\$9,360.00	\$4,734.15	\$0.00	\$0.00	
LEHMAN BROS HLDGS INC LEHMAN NTS									
MEDIUM TERM NTS TRANCHE # TR 00044									
5,500.00	10/07/23 B/E DTD 10/07/03								
CALLABLE 03/07/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 11/07/03									
CPN PMT MONTHLY ON 07									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FBV2									
5,000.00	10/21/08	8,1600	407.74	13.0000	650.00	242.26	0.00		
Original Cost Basis: \$408.00									
LEHMAN BROS HLDGS INC LEHMAN NOTES									
TRANCHE # TR 00047 6,000.00	10/23/28 B/E								
DTD 10/23/03 CALLABLE 03/23/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 11/23/03 CPN PMT MONTHLY									
ON 23									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FBY6									
5,000.00 of these shares are in your margin account									
5,000.00	11/18/08	7,8100	390.04	13.0000	650.00	259.96	0.00		
Original Cost Basis: \$390.50									

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage **Account Statement**

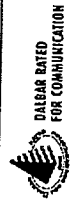
Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NOTES									
6.000%	11/18/28 B/E DTD 11/18/03								
CALLABLE 03/18/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 12/18/03									
CPN PMT MONTHLY ON 18									
Moody Rating B3									
Security Identifier: 52519FCB5									
33,000.00 of these shares are in your margin account									
5,000.000	11/18/08	7.8100	390.01	13.0000	650.00	259.99	0.00		
Original Cost Basis: \$390.50									
28,000.000	12/03/08	5.5110	1,542.62	13.0000	3,640.00	2,097.38	0.00		
Original Cost Basis: \$1,543.00									
33,000.000	Total		\$1,932.63		\$4,290.00	\$2,357.37	\$0.00	\$0.00	
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCE# SR 00057 5.600% 02/17/29 B/E									
DTD 02/17/04 CALLABLE 03/17/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 03/17/04 CPN PMT MONTHLY ON 17									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FCB8									
10,000.000	10/21/08	7.8300	782.96	13.0000	1,300.00	517.04	0.00		
Original Cost Basis: \$783.00									
LEHMAN BROTHERS HLDGS INC LEHMAN									
MEDIUM TERM NOTES TRANCHE# TR 00058									
5.600% 02/24/29 B/E DTD 02/24/04									
CALLABLE 03/24/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 03/24/04									
CPN PMT MONTHLY ON 24									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FCB5									
16,000.000	01/26/09	7.1380	1,142.19	13.0000	2,080.00	937.81	0.00		
Original Cost Basis: \$1,142.00									

B0064860CSF30023



Account Number: 40A-025317
ROBERT C FRANZ

PAR-02-ROLL
Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

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Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00061 5.400% 03/30/29 B/E									
DTD 03/30/04 CALLABLE 03/30/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 04/30/04 CPN PMT MONTHLY									
ON 30									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FCN9									
10,000.000	10/09/08	10.3000	1,030.27	13.0000	1,300.00	269.73	0.00		
Original Cost Basis: \$1,030.00									
LEHMAN BROS HLDGS INC LEHMAN MEDIUM									
TERM NTS TRANCHE # TR 00062									
5.450% 04/06/29 B/E DTD 04/06/04									
CALLABLE 04/06/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 05/06/05									
CPN PMT MONTHLY ON 06									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FCP4									
25,000.00 of these shares are in your margin account									
25,000.000	12/03/08	5.5120	1,378.02	13.0000	3,250.00	1,871.98	0.00		
Original Cost Basis: \$1,378.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00064 6.000% 04/30/34 B/E									
DTD 04/30/04 CALLABLE 04/30/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 10/30/04 CPN PMT SEMI ANNUAL									
ON APR 30 AND OCT 30									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FCR0									
104,000.00 of these shares are in your margin account									
7,000.000	09/18/08	10.7860	753.24	13.0000	910.00	156.76	0.00		
Original Cost Basis: \$755.00									
58,000.000	11/18/08	7.7550	4,462.73	13.0000	7,540.00	3,077.27	0.00		
Original Cost Basis: \$4,498.00									
39,000.000	12/03/08	5.5080	2,131.60	13.0000	5,070.00	2,938.40	0.00		
Original Cost Basis: \$2,148.00									
104,000.000	Total		\$7,347.57		\$13,520.00	\$6,172.43	\$0.00	\$0.00	

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage **Account Statement**

Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC MEDIUM TERM NTS									
TRANCHE # TR 00066 5.900% 05/04/29 B/E									
DTD 05/04/04 CALLABLE 05/04/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 06/04/04 CPN PMT MONTHLY									
ON 04									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FCV16									
11,000.000	01/26/09	7.1430	785.40	13.0000	1,430.00	644.60	0.00		
Original Cost Basis: \$785.75									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00067 6.000% 05/11/29 B/E									
DTD 05/11/04 CALLABLE 05/11/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 11/11/04 CPN PMT SEMI ANNUAL									
ON MAY 11 AND NOV 11									
Moody Rating B3									
Security Identifier: 52519FCU3									
2,000.000	10/21/08	9.1500	181.56	13.0000	260.00	78.44	0.00		
Original Cost Basis: \$183.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00068 6.200% 05/25/29 B/E									
DTD 05/25/04 CALLABLE 05/25/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 06/25/04 CPN PMT MONTHLY									
ON 25									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FCV1									
47,000.000	12/03/08	5.5060	2,588.93	13.0000	6,110.00	3,521.07	0.00		
Original Cost Basis: \$2,588.00									

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80064860CSF30023



Account Number: 40A-025317
ROBERT C FRANZ

PAR-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.050% 06/29/29 B/E DTD 06/29/04									
CALLABLE 06/29/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 07/29/04									
CPN PMT MONTHLY ON 29									
Moody Rating A2 S & P Rating A									
Security Identifier 52519FCW9									
25,000.00 of these shares are in your margin account									
25,000.000 12/03/08		5.5120	1,378.96	13.0000	3,250.00	1,871.04	0.00		
Original Cost Basis: \$1,378.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00070 6.000% 07/30/34 B/E									
DTD 07/30/04 CALLABLE 07/30/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 08/30/04 CPN PMT MONTHLY									
ON 30									
Moody Rating B3									
Security Identifier 52519FCX7									
40,000.00 of these shares are in your margin account									
40,000.000 12/03/08		5.5080	2,204.23	13.0000	5,200.00	2,995.77	0.00		
Original Cost Basis: \$2,203.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# SR 00071 6.000% 07/20/29 B/E									
DTD 07/20/04 CALLABLE 07/20/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 08/20/04 CPN PMT MONTHLY									
ON 20									
Moody Rating B3									
Security Identifier 52519FCY5									
20,000.000 01/26/09		7.1400	1,427.50	13.0000	2,600.00	1,172.50	0.00		
Original Cost Basis: \$1,428.00									
17,000.000 01/27/09		8.0120	1,361.71	13.0000	2,210.00	848.29	0.00		
Original Cost Basis: \$1,362.00									
37,000.000 Total			\$2,789.21		\$4,810.00	\$2,020.79	\$0.00	\$0.00	

80064860C8F30023



Account Number 40A-025317

ROBERT C FRANZ

PAR-02-FOLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
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MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage

Account Statement

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Statement Period: 02/01/2009 - 02/28/2009

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCE# SR 00076 5.700% 09/07/29 B/E									
DTD 09/07/04 CALLABLE 09/07/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 10/07/04 CPN PMT MONTHLY									
ON 07									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FDD0									
10,000,000 01/26/09									
Original Cost Basis: \$714.50									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCE# TR 00081 5.700% 12/14/29 B/E									
DTD 12/14/04 CALLABLE 12/14/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 01/14/05 CPN PMT MONTHLY									
ON 14									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FDJ7									
50,000.00 of these shares are in your margin account									
10,000,000 10/09/08									
Original Cost Basis: \$1,003.00									
50,000,000 12/03/08									
Original Cost Basis: \$2,753.00									
60,000,000 Total									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCE # TR 00082 5.550% 12/31/34 B/E									
DTD 12/31/04 CALLABLE 12/31/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 01/31/05 CPN PMT MONTHLY									
ON 31									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FDK4									

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS (continued)									
6,000,000	10/09/08	10.5500	633.42	13.0000	780.00	146.58	0.00		
Original Cost Basis: \$633.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE # TR 00084 5.550% 01/25/30 B/E									
DTD 01/25/05 CALLABLE 01/25/10									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 02/25/05 CPN PMT MONTHLY									
ON 25									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FDM0									
11,000,000	10/09/08	10.2500	1,128.15	13.0000	1,430.00	301.85	0.00		
Original Cost Basis: \$1,127.50									
LEHMAN BROS HLDGS INC LEHMAN NTS									
5.800% 10/25/30 B/E DTD 10/25/05									
CALLABLE 10/25/10 @ 100,000									
SECURITY IN DEFAULT 1ST CPN DTE 11/25/05									
CPN PMT MONTHLY ON 25									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FED9									
5,000,000	11/18/08	7.8100	390.11	13.0000	650.00	259.89	0.00		
5,000.00 of these shares are in your margin account									
Original Cost Basis: \$390.50									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.150% 04/11/31 B/E DTD 04/11/06									
CALLABLE 04/11/11 @ 100,000									
SECURITY IN DEFAULT 1ST CPN DTE 05/11/06									
CPN PMT MONTHLY ON 11									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FEL1									
6,000,000	11/18/08	7.8000	468.31	13.0000	780.00	311.69	0.00		
6,000.00 of these shares are in your margin account									
Original Cost Basis: \$468.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.500% 09/20/27 B/E DTD 09/18/07									
CALLABLE 09/20/11 @ 100,000									
SECURITY IN DEFAULT 1ST CPN DTE 03/20/08									
CPN PMT SEMI ANNUAL ON MAR 20 AND SEP 20									
Moody Rating B3									
Security Identifier: 52519FET4									
10,000.00 of these shares are in your margin account									

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage **Account Statement**

Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS (continued)									
10,000.000	11/18/08	7.7800	784.88	13.0000	1,300.00	515.12	0.00		
Original Cost Basis: \$778.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.500% 01/17/33 B/E DTD 01/15/08									
CALLABLE 01/17/13 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 07/17/08									
CPN PMT SEMI ANNUAL ON JAN 17 AND JUL 17									
Moody Rating B3 S & P Rating D									
Security Identifier 52519FFB2									
20,000.000	10/15/08	6.6900	1,347.30	13.0000	2,600.00	1,252.70	0.00		
Original Cost Basis: \$1,338.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.250% 02/05/21 B/E DTD 02/05/08									
CALLABLE 02/05/10 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 08/05/08									
CPN PMT SEMI ANNUAL ON FEB 05 AND AUG 05									
Moody Rating B3 S & P Rating D									
Security Identifier 52519FFE6									
124,000.00 of these shares are in your margin account									
24,000.000	10/02/08	10.1580	2,454.98	13.0000	3,120.00	665.02	0.00		
Original Cost Basis: \$2,438.00									
100,000.000	11/18/08	8.0530	8,123.39	13.0000	13,000.00	4,876.61	0.00		
Original Cost Basis: \$8,053.00									
50,000.000	01/26/09	7.4310	3,683.29	13.0000	6,500.00	2,806.71	0.00		
Original Cost Basis: \$3,715.50									
174,000.000	Total		\$14,271.66		\$22,620.00	\$8,348.34	\$0.00	\$0.00	

Page 33 of 48

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.500%	03/06/23 B/E DTD 03/04/08								
CALLABLE 03/06/13 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 09/06/08									
CPN PMT SEMI ANNUAL ON MAR 06 AND SEP 06									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FFH9									
10,000.00 of these shares are in your margin account									
10,000.000	11/18/08	7.7800	789.43	13.0000	1,300.00	510.57	0.00		
Original Cost Basis: \$778.00									
25,000.000	01/26/09	7.1330	1,804.69	13.0000	3,250.00	1,445.31	0.00		
Original Cost Basis: \$1,783.25									
35,000.000 Total			\$2,594.12		\$4,550.00	\$1,955.88	\$0.00	\$0.00	
LEHMAN BROS HLDGS INC LEHMAN NTS									
MTN 7.250% 04/29/38 B/E									
DTD 04/29/08 CALLABLE 04/29/11									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 10/29/08 CPN PMT SEMI ANNUAL									
ON APR 29 AND OCT 29									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FFM8									
75,000.00 of these shares are in your margin account									
75,000.000	10/27/08	8.6980	6,420.92	13.0000	9,750.00	3,329.08	0.00		
Original Cost Basis: \$6,523.75									
LEHMAN BROS HLDGS INC LEHMAN NTS									
7.350% 05/06/38 B/E DTD 05/06/08									
CALLABLE 05/06/11 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 11/06/08									
CPN PMT SEMI ANNUAL ON MAY 06 AND NOV 06									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FFN6									
25,000.00 of these shares are in your margin account									
25,000.000	09/15/08	25.2200	6,303.39	13.0000	3,250.00	-3,053.39	0.00		
Original Cost Basis: \$6,305.00									

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75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage Account Statement

Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Date	Acquisition	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)										
Corporate Bonds (continued)										
5LEHMAN BROS HLDGS INC MTN										
7.000%	02/08/38	B/E DTD 02/08/08								
CALLABLE 02/08/11 @ 100.000										
SECURITY IN DEFAULT 1ST CPN DTE 08/08/08										
CPN PMT SEMI ANNUAL ON FEB 08 AND AUG 08										
Moody Rating B3 S & P Rating D										
Security Identifier: 5252MOCB1										
25.000.000	01/26/09		7.1330	1,773.27	N/A	0.00	N/A	0.00		
Original Cost Basis: \$1,783.25										
5LEHMAN BROS HLDGS INC MEDIUM TERM NTS										
VAR RATE 0.000% 02/14/23 B/E										
DTD 02/14/08 CALLABLE 05/14/09										
@ 100.000 SECURITY IN DEFAULT										
1ST CPN DTE 05/14/08 CPN PMT QUARTERLY										
ON F.M.A.N 14										
Moody Rating CAA3										
Security Identifier: 5252MOCM7										
42.000.000	10/09/08		9.8810	4,150.00	N/A	0.00	N/A	0.00		
Original Cost Basis: \$4,150.00										
5NUTRITIONAL SOURCING CORP SR SECND NT										
10.125% 08/01/09 B/E DTD 06/05/03										
CALLABLE 1ST CPN DTE 02/01/04										
Security Identifier: 670688AAB										
5,229.00 of these shares are in your margin account										
153.000	03/15/06		64.1240	143.21	N/A	0.00	N/A	0.00		
Original Cost Basis: \$98.11										
5,076.000	04/05/06		50.7490	4,575.82	N/A	0.00	N/A	0.00		
Original Cost Basis: \$2,576.00										
5,229.000		Total		\$4,719.03		\$0.00	N/A	\$0.00		\$0.00

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RECIPIENT:

Address 1177 AVE OF THE AMERICAS FL 32
City NEW YORK State NY Zip 10036-2714

2 Your Internal Billing Reference

3 To Recipient's Address
ATTN: LEONARD B. CLARK, JR.
757 THIRD AVENUE
3rd Floor
New York, NY 10017

6 Special Handling
☐ No special handling required
☐ Fragile
☐ Perishable
☐ Hazardous
☐ Other (Specify):

7 Payment By
☒ Sender
☐ Recipient
☐ Third Party
☐ Credit Card


8 Residential Delivery Signature Options
☐ No Signature
☐ Restricted Signature
☐ Adult Signature
☐ Restricted Adult Signature

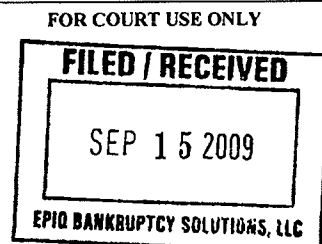
The World On Time

Legal Size

Align bottom of Peel and Stick Airbill here.

EXHIBIT B

United States Bankruptcy Court/Southern District of New York		PROOF OF CLAIM	
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000013065	
In Re: Lehman Brothers Holdings Inc., et al. Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	 THIS SPACE IS FOR COURT USE ONLY	
Name of Debtor Against Which Claim is Held	Case No. of Debtor		
NOTE: This form should not be used to make a claim for an administrative expense arising after the commencement of the case. A request for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503.			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) Robert Franz 81 Dixon Ave Boonton, NJ 07005		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	NOTICE OF SCHEDULED CLAIM: Your Claim is scheduled by the indicated Debtor as:
Telephone number: 212 764 4000 Email Address: Robert.Franz		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. <input type="checkbox"/> Check this box if you are the debtor or trustee in this case.	
Name and address where payment should be sent (if different from above) fieldstonecapital.com			
Telephone number: _____ Email Address: _____			
1. Amount of Claim as of Date Case Filed: \$ 2,128,189.33 If all or part of your claim is secured, complete Item 4 below; however, if all of your claim is unsecured, do not complete item 4. If all or part of your claim is entitled to priority, complete Item 5. If all or part of your claim qualifies as an Administrative Expense under 11 U.S.C. §503(b)(9), complete Item 6. <input type="checkbox"/> Check this box if all or part of your claim is based on a Derivative Contract.* <input checked="" type="checkbox"/> Check this box if all or part of your claim is based on a Guarantee.* *IF YOUR CLAIM IS BASED ON AMOUNTS OWED PURSUANT TO EITHER A DERIVATIVE CONTRACT OR A GUARANTEE OF A DEBTOR, YOU MUST ALSO LOG ON TO http://www.lehman-claims.com AND FOLLOW THE DIRECTIONS TO COMPLETE THE APPLICABLE QUESTIONNAIRE AND UPLOAD SUPPORTING DOCUMENTATION OR YOUR CLAIM WILL BE DISALLOWED. <input checked="" type="checkbox"/> Check this box if claim includes interest or other charges in addition to the principal amount of the claim. Attach itemized statement of interest or additional charges. Attach itemized statement of interest or charges to this form or on http://www.lehman-claims.com if claim is a based on a Derivative Contract or Guarantee.		5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any portion of your claim falls in one of the following categories, check the box and state the amount. Specify the priority of the claim: <input type="checkbox"/> Domestic support obligations under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B). <input type="checkbox"/> Wages, salaries or commissions (up to \$10,950), earned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier - 11 U.S.C. § 507(a)(4). <input type="checkbox"/> Contributions to an employee benefit plan - 11 U.S.C. § 507(a)(5). <input type="checkbox"/> Up to \$2,425 of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. § 507(a)(7). <input type="checkbox"/> Taxes or penalties owed to governmental units - 11 U.S.C. § 507(a)(8). <input type="checkbox"/> Other - Specify applicable paragraph of 11 U.S.C. § 507(a)(____)	
2. Basis for Claim: Lehman BV guaranteed Bonds (See instruction #2 on reverse side.)			
3. Last four digits of any number by which creditor identifies debtor: _____ 3a. Debtor may have scheduled account as: _____ (See instruction #3a on reverse side.)			
4. Secured Claim (See instruction #4 on reverse side.) Check the appropriate box if your claim is secured by a lien on property or a right of setoff and provide the requested information. Nature of property or right of setoff: <input type="checkbox"/> Real Estate <input type="checkbox"/> Motor Vehicle <input type="checkbox"/> Other Describe: _____ Value of Property: \$ _____ Annual Interest Rate _____ % Amount of arrearage and other charges as of time case filed included in secured claim, if any: \$ _____ Basis for perfection: _____ Amount of Secured Claim: \$ _____ Amount Unsecured: \$ _____			
6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. §503(b)(9): \$ _____ (See instruction #6 on reverse side.)			
7. Credits: The amount of all payments on this claim has been credited for the purpose of making this proof of claim. 8. Documents: Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages and security agreements. Attach redacted copies of documents providing evidence of perfection of a security interest. (See definition of "redacted" on reverse side.) If the documents are voluminous, attach a summary. DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING. If the documents are not available, please explain:			
Date: 9/14/09	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. Robert Franz		
Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.			



Summary of Robert Franz's Holdings

Bond	Cusip/ISIN	Quantity	Face	Coupon	Days	Interest	Net
LEH 6 03/14/11	XS0163559841	90	€ 90,000.00	3.8383	188	€ 1,779.27	€ 91,779.27
LEH StrNt 11/26/13	XS0178969209	10	€ 10,000.00	3.4385	297	€ 279.79	€ 10,279.79
LEH StrNt 04/23/14	XS0189294225	30	€ 30,000.00	4.3192	148	€ 525.40	€ 30,525.40
LEH 5 09/22/14	XS0200284247	58	€ 58,000.00	3.05	362	€ 1,754.48	€ 59,754.48
LEH StrNt 10/27/14	XS0202417050	20	€ 20,000.00	3.0035	327	€ 538.16	€ 20,538.16
LEH StrNt 12/30/16	XS0208459023	95	€ 95,000.00	3.1589	263	€ 2,162.36	€ 97,162.36
LEH StrNt 02/16/15	XS0211093041	30	€ 30,000.00	4.1692	215	€ 736.74	€ 30,736.74
LEH StrNt 02/16/17	XS0211814123	15	€ 15,000.00	4.1692	215	€ 368.37	€ 15,368.37
LEH StrNt 10/10/13	XS0176153350	20	€ 20,000.00	3.0601	344	€ 576.80	€ 20,576.80
LEH 0 06/13/17	XS0220704109	111	€ 111,000.00	4.598	97	€ 1,356.36	€ 112,356.36
LEH StrNt 10/05/35	XS0229584296	750	€ 750,000.00	7.25	349	€ 51,991.44	€ 801,991.44
LEH StrNt 05/17/13	XS0254628661	55	€ 55,000.00	1	121	€ 182.33	€ 55,182.33
LEH StrNt 10/10/08	XS0295438369	7	€ 7,000.00	0	524	€ 0.00	€ 7,000.00
LEH StrNt 05/04/12	XS0296156085	50	€ 50,000.00	2.62	134	€ 480.93	€ 50,480.93
LEH StrNt 07/14/14	XS0309485729	20	€ 20,000.00	0	64	€ 0.00	€ 20,000.00
LEH StrNt 01/31/17	XS0283497005	33	€ 33,000.00	4.4602	231	€ 931.50	€ 33,931.50

€ 1,394,000.00

€ 63,663.93 € 1,457,663.93

Exchange Rate 1.46 \$/€

\$2,128,189.33

EXHIBIT C

Hearing Date and Time: June 30, 2011 10:00 a.m. (Eastern Time)
Response Deadline: June 15, 2011 4:00 p.m. (Eastern Time)

Sedgwick M. Jeanite, Esq.
WHITE AND WILLIAMS LLP
One Penn Plaza, Suite 4110
New York, NY 10119
Telephone: (212) 631-4413
Telecopier: (212) 868-4846
Counsel for Robert Franz

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

<hr/>		x
In re:	:	CHAPTER 11
	:	
LEHMAN BROTHERS HOLDINGS INC., et al.,	:	Case No. 08-13555 (JMP)
	:	
Debtors.	:	(Jointly Administered)
<hr/>		x

**RESPONSE OF ROBERT FRANZ TO DEBTORS' ONE HUNDRED FORTIETH
OMNIBUS OBJECTION TO CLAIMS (DUPLICATIVE OF INDENTURE
TRUSTEE CLAIMS)**

Robert Franz ("Franz"), by and through his counsel, White and Williams LLP, hereby submits this response to the Debtors' One Hundred Fortieth Omnibus Objection to Claims (Duplicative of Indenture Trustee Claims), and states as follows:

1. On or about May 16, 2011, Lehman Brothers Holdings Inc. and its affiliated debtors (the "Debtors") filed their One Hundred Fortieth Omnibus Objection to Claims (Duplicative of Indenture Trustee Claims) (the "Debtors' Objection") seeking the disallowance and expungement of the claims listed on Exhibit A annexed thereto. More specifically, the Debtors seek to have the proof of claim filed by Franz, Claim No. 3802 (the "Franz Claim"), disallowed and expunged as duplicative. A copy of the Franz Claim is attached hereto as Exhibit A.

2. Franz disagrees that the Franz Claim is in whole duplicative of the Indenture Trustee Claims and respectfully requests that the Debtors' Objection be denied as it relates to the Franz Claim.

3. According to the Debtors' Objection, the Debtors have examined the proofs of claim identified on Exhibit A attached thereto and have determined that the proofs of claim listed under the heading "Claims to be Disallowed and Expunged" (the "Duplicative of Indenture Trustee Claims") are substantively duplicative, in whole or in part, of the corresponding global claims identified under the heading "Surviving Claims" (the "Indenture Trustee Claims").

4. However, the Debtors have not identified each of the claims which is duplicative of the Indenture Trustees Claims and have failed to specifically disallow and expunge only those respective claims.

5. In the Franz Claim, which was timely filed on April 16, 2009, Franz identified each and every bond owned by him used to calculate his claim for \$1,665,263.82. Attached to the Franz Claim was a "Summary of Robert Franz's Holdings" which detailed each bond, the CUSIP numbers, the quantity, face value, coupon, days, interest and net value.

6. Nearly all of the bonds identified on the Summary of Franz's Holdings are also included in the proof of claim (Claim No. 10082) filed by Wilmington Trust Company, as Indenture Trustee, on behalf of itself and the holders of certain notes (the "Wilmington Proof of Claim"). However, upon review of the bonds listed in the Franz Claim and the bonds listed in the Wilmington Proof of Claim filed on September 2, 2009, there is at least one bond which is not duplicative and the Court should enter an order allowing the Franz Claim in the amount asserted therein by Franz against the Debtors. Specifically, the bond identified as LEH 5.857

11/29/49 - CUSIP Number 524908xa3 in the Franz Claim, is not identified or included in the list of bonds in the Wilmington Proof of Claim.

7. Consequently, the Debtors' Objection should be denied as it relates to Franz and the Franz Claim.

8. Franz hereby reserves any and all of his rights with respect to the Franz Claim, including, without limitation, the right to supplement this Response in connection with any further objection of the Debtors or otherwise.

WHEREFORE, Franz respectfully requests that the Court (i) deny and overrule the Debtors' Objection with respect to the Franz Claim, (ii) enter an order allowing the Franz Claim and (iii) grant Franz such other and further relief as the Court deems just and proper.

Dated: June 15, 2011

Respectfully submitted,


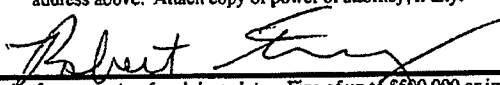
White and Williams LLP

By: /s/ Sedgwick M. Jeanite
Sedgwick M. Jeanite, Esquire
White and Williams LLP
One Penn Plaza, Suite 4110
New York, NY 10119

Attorneys for Robert Franz

EXHIBIT A

B 10 (Official Form 10) (12/07)

UNITED STATES BANKRUPTCY COURT		PROOF OF CLAIM
Name of Debtor: Lehman Brothers		Case Number: 08-13555
<small>NOTE: This form should not be used to make a claim for an administrative expense arising after the commencement of the case. A request for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503.</small>		
Name of Creditor (the person or other entity to whom the debtor owes money or property): Robert Franz		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____
Name and address where notices should be sent: 81 Dixon Ave Boonton, NJ 07005		
Telephone number: (212) 764-4050		
Name and address where payment should be sent (if different from above):		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. <input type="checkbox"/> Check this box if you are the debtor or trustee in this case.
Telephone number:		
1. Amount of Claim as of Date Case Filed: \$ <u>1,665,263.82</u> If all or part of your claim is secured, complete item 4 below; however, if all of your claim is unsecured, do not complete item 4. If all or part of your claim is entitled to priority, complete item 5. <input type="checkbox"/> Check this box if claim includes interest or other charges in addition to the principal amount of claim. Attach itemized statement of interest or charges.		5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any portion of your claim falls in one of the following categories, check the box and state the amount. Specify the priority of the claim. <input type="checkbox"/> Domestic support obligations under 11 U.S.C. §507(a)(1)(A) or (a)(1)(B). <input type="checkbox"/> Wages, salaries, or commissions (up to \$10,950*) earned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier – 11 U.S.C. §507 (a)(4). <input type="checkbox"/> Contributions to an employee benefit plan – 11 U.S.C. §507 (a)(5). <input type="checkbox"/> Up to \$2,425* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use – 11 U.S.C. §507 (a)(7). <input type="checkbox"/> Taxes or penalties owed to governmental units – 11 U.S.C. §507 (a)(8). <input type="checkbox"/> Other – Specify applicable paragraph of 11 U.S.C. §507 (a)(). Amount entitled to priority: \$ _____ <small>*Amounts are subject to adjustment on 4/1/10 and every 3 years thereafter with respect to cases commenced on or after the date of adjustment.</small>
2. Basis for Claim: <u>Bonds Owned</u> <small>(See instruction #2 on reverse side.)</small>		
3. Last four digits of any number by which creditor identifies debtor: _____ 3a. Debtor may have scheduled account as: _____ <small>(See instruction #3a on reverse side.)</small>		
4. Secured Claim (See instruction #4 on reverse side.) Check the appropriate box if your claim is secured by a lien on property or a right of setoff and provide the requested information. Nature of property or right of setoff: <input type="checkbox"/> Real Estate <input type="checkbox"/> Motor Vehicle <input type="checkbox"/> Other Describe: Value of Property: \$ _____ Annual Interest Rate _____ % Amount of arrearage and other charges as of time case filed included in secured claim, if any: \$ _____ Basis for perfection: _____ Amount of Secured Claim: \$ _____ Amount Unsecured: \$ _____		
6. Credits: The amount of all payments on this claim has been credited for the purpose of making this proof of claim.		
7. Documents: Attach redacted orders, invoices, itemized statements. You may also attach a summary of a security interest. You may also a DO NOT SEND ORIGINAL DOCUMENT SCANNING. If the documents are not available, please explain:		Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000003802  ROYED AFTER
Date: <u>4/16/09</u> Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. 		FOR COURT USE ONLY FILED / RECEIVED APR 16 2009 <small>EPIC BANKRUPTCY SOLUTIONS, LLC</small>

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

Summary of Robert Franz's Holdings

Bond	Cusip	Quantity	Face	Coupon	Days	Interest	Net
LEH 7.2 08/15/09	524908bq2	10	\$10,000.00	7.2	33	\$66.00	\$10,066.00
LEH 5.857 11/29/49	524908xa3	140	\$140,000.00	5.857	108	\$2,459.94	\$142,459.94
LEH StrNt 10/20/20	52517pc25	10	\$10,000.00			\$0.00	\$10,000.00
LEH StrNt 11/10/15	52517pc66	70	\$70,000.00		8	\$0.00	\$70,000.00
LEH 0 12/23/10	52517pd65	26	\$26,000.00		87	\$0.00	\$26,000.00
LEH StrNt 05/17/21	52517ph46	120	\$120,000.00		31	\$0.00	\$120,000.00
LEH 6 1/202/13/37	52517ps69	50	\$50,000.00	6	35	\$291.67	\$50,291.67
LEH 6 1/206/21/37	52517pw23	26	\$26,000.00	6	87	\$377.00	\$26,377.00
LEH StrNt 01/28/20	52517pym7	35	\$35,000.00			\$0.00	\$35,000.00
LEH StrNt 02/09/17	52517pyq8	15	\$15,000.00		9	\$0.00	\$15,000.00
LEH StrNt 03/23/20	52517pyw5	100	\$100,000.00		85	\$0.00	\$100,000.00
LEH StrNt 05/24/22	52517p2h3	30	\$30,000.00			\$0.00	\$30,000.00
LEH 5.7 01/28/18	52519fae1	16	\$16,000.00	5.7	3	\$7.60	\$16,007.60
LEH 5.55 02/11/18	52519fal5	5	\$5,000.00	5.55	3	\$2.31	\$5,002.31
LEH 5 1/202/19/18	52519fam3	10	\$10,000.00	5	3	\$4.17	\$10,004.17
LEH 5 1/203/14/23	52519fau5	10	\$10,000.00	5	4	\$5.56	\$10,005.56
LEH 5.4 03/20/20	52519fav3	25	\$25,000.00	5.4	3	\$11.25	\$25,011.25
LEH 5 1/405/20/23	52519fbe0	10	\$10,000.00	5	28	\$38.89	\$10,038.89
LEH StrNt 05/30/23	52519fbg5	72	\$72,000.00		18	\$0.00	\$72,000.00
LEH 5 1/210/07/23	52519fbv2	5	\$5,000.00	5	11	\$7.64	\$5,007.64
LEH 6 10/23/28	52519fbv6	5	\$5,000.00	6	25	\$20.83	\$5,020.83
LEH 6 11/18/28	52519fcb5	33	\$33,000.00	6	0	\$0.00	\$33,000.00
LEH 5.6 02/17/29	52519fcj8	10	\$10,000.00	5.6	1	\$1.56	\$10,001.56
LEH 5.6 02/24/29	52519fck5	16	\$16,000.00	5.6	24	\$59.73	\$16,059.73
LEH 5.4 03/30/29	52519fcn9	10	\$10,000.00	5.4	18	\$27.00	\$10,027.00
LEH 5.45 04/06/29	52519fcp4	25	\$25,000.00	5.45	12	\$45.42	\$25,045.42
LEH 6 04/30/34	52519fcr0	104	\$104,000.00	6	138	\$2,392.00	\$106,392.00
LEH 5.9 05/04/29	52519fct6	11	\$11,000.00	5.9	14	\$25.24	\$11,025.24
LEH 6 05/11/29	52519fcu3	2	\$2,000.00	6	127	\$42.33	\$2,042.33
LEH 6.2 05/25/29	52519fcv1	47	\$47,000.00	5.2	23	\$156.14	\$47,156.14
LEH 6.05 06/29/29	52519fcw9	25	\$25,000.00	6.05	19	\$79.83	\$25,079.83
LEH 6 07/30/34	52519fcx7	40	\$40,000.00	6	18	\$120.00	\$40,120.00
LEH 6 07/20/29	52519fcy5	37	\$37,000.00	6	28	\$172.67	\$37,172.67
LEH 5.7 09/07/29	52519fdd0	10	\$10,000.00	5.7	11	\$17.42	\$10,017.42
LEH 5.7 12/14/29	52519fdj7	60	\$60,000.00	5.7	4	\$38.00	\$60,038.00
LEH 5.55 12/31/34	52519fdk4	6	\$6,000.00	5.55	18	\$16.65	\$6,016.65
LEH 5.55 01/25/30	52519fdm0	11	\$11,000.00	5.55	23	\$39.00	\$11,039.00
LEH 5.8 10/25/30	52519fed9	5	\$5,000.00	5.8	23	\$18.53	\$5,018.53
LEH 6.15 04/11/31	52519fel1	6	\$6,000.00	6.15	7	\$7.18	\$6,007.18
LEH 6 1/209/20/27	52519fet4	10	\$10,000.00	6	178	\$296.67	\$10,296.67
LEH 6 1/201/17/33	52519ffb2	20	\$20,000.00	6	61	\$203.33	\$20,203.33
LEH 6 1/402/05/21	52519ffe6	174	\$174,000.00	6	43	\$1,247.00	\$175,247.00
LEH 6 1/203/06/23	52519ffh9	35	\$35,000.00	6	12	\$70.00	\$35,070.00
LEH 7 1/404/29/38	52519ffm8	75	\$75,000.00	7	139	\$2,027.08	\$77,027.08
LEH 7.35 05/06/38	52519ffn6	25	\$25,000.00	7.35	132	\$673.75	\$25,673.75
LEH 7 02/08/38	5252m0cb1	25	\$25,000.00	7	40	\$194.44	\$25,194.44
LEH StrNt 02/14/23	5252m0cm7	42	\$42,000.00			\$0.00	\$42,000.00

\$1,654,000.00

\$11,263.82 \$1,665,263.82

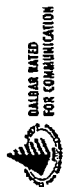
Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
GENERAL MTRS CORP DEB									
8.100% 06/15/24 B/E DTD 06/10/96									
CALLABLE 06/15/09 @ 102.704									
Moody Rating C S & P Rating C									
Security Identifier 370442AV7									
50,000.00	of these shares are in your margin account	16.3600	8,145.13	12.0000	5,000.00	-2,145.13	821.25	4,050.00	67.50%
50,000.000	12/23/08								
Original Cost Basis: \$8,180.00									
HARRAH'S OPER INC 2ND PRIORITY SR SEC									
NT 144A 10.000% 12/15/18 B/E									
DTD 12/24/08 CALLABLE 12/15/13									
S & P Rating CCC-									
Security Identifier 413627BC3									
4,194.000	01/06/09	38.5070	1,620.52	28.0000	1,174.32	-446.20	74.56	419.40	35.71%
Original Cost Basis: \$1,615.00									
LEAR CORP ZERO CPN CONV SR NT									
0.000% 02/20/22 B/E DTD 02/20/02									
CALLABLE 02/20/10 @ 56.931									
Moody Rating CAA2 S & P Rating CCC									
Security Identifier 521865AG0									
10,000.00	of these shares are in your margin account	47.6060	4,760.60	54.0000	5,400.00	639.40	0.00		
10,000.000	05/20/08								
Original Cost Basis: \$4,555.00									
LEHMAN BROTHERS HLDGS SR NTS									
7.200% 08/15/09 B/E DTD 08/19/97									
SECURITY IN DEFAULT 1ST CPN DTE 02/15/98									
CPN PMT SEMI ANNUAL ON FEB 15 AND AUG 15									
Moody Rating B3 S & P Rating D									
Security Identifier 524908BQ2									
10,000.00	of these shares are in your margin account	29.5500	5,261.85	13.0000	1,300.00	-3,961.85	0.00		
10,000.000	09/16/08								
Original Cost Basis: \$2,955.00									
LEHMAN BROTHERS HLDGS INC MANDATORY									
CAP ADVANTAGED PFD SECS-MCAPS									
0.000% 05/17/21 B/E DTD 05/17/07									
CALLABLE SECURITY IN DEFAULT									
1ST CPN DTE 11/30/07 CPN PMT SEMI ANNUAL									
ON MAY 31 AND NOV 31									
Moody Rating CA S & P Rating D									
Security Identifier 524908XA3									

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B0064660CBP0023



Account Number: ROBERT C FRANZ

PAP-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage Account Statement

Statement Period: 02/01/2009 - 02/28/2009

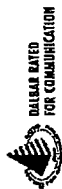
Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROTHERS HLDGS INC MANDATORY (continued)									
140,000.00	09/17/08	0.2700	378.00	0.0100	14.00	-364.00	0.00		
Original Cost Basis: \$378.00									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 0.000% 10/20/20 B/E									
DTD 10/20/05 CALLABLE 04/20/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 01/20/06 CPN PMT QUARTERLY									
ON JA JO 20									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517PC25									
10,000.00	12/15/08	4.2800	428.00	7.0000	700.00	272.00	0.00		
Original Cost Basis: \$428.00									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 6.757% 11/10/15 B/E									
DTD 11/10/05 SECURITY IN DEFAULT									
1ST CPN DTE 12/10/05 CPN PMT MONTHLY									
ON 10									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517PC56									
50,000.00	12/08/08	5.5760	2,789.72	12.2500	6,125.00	3,335.28	0.00		
Original Cost Basis: \$2,788.00									
20,000.00	01/26/09	7.1350	1,430.38	12.2500	2,450.00	1,019.62	0.00		
Original Cost Basis: \$1,427.00									
70,000.000	Total		\$4,220.10		\$8,575.00	\$4,354.90	\$0.00		\$0.00

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Account Number:
ROBERT C FRANZ

PAR-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE SIPC

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 0.000% 12/23/10 B/E									
DTD 12/21/05 SECURITY IN DEFAULT									
1ST CPN DTE 03/23/06 CPN PMT QUARTERLY									
ON M.J.S.D 23									
Moody Rating A2 S & P Rating A									
Security Identifier: 52517PD65									
26,000.00 of these shares are in your margin account									
26,000.00	09/15/08	36.8570	9,582.78	12.2500	3,185.00	-6,397.78	0.00		
Original Cost Basis: \$7,468.00									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS TRANCHE # TR 00635									
0.000% 05/17/21 B/E DTD 05/17/06									
CALLABLE 05/17/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 08/17/06									
CPN PMT QUARTERLY ON F.M.A.N 17									
Moody Rating A1 S & P Rating A+									
Security Identifier: 52517PH46									
120,000.00	10/21/08	8.1760	9,811.00	14.0000	16,800.00	6,989.00	0.00		
Original Cost Basis: \$9,811.00									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 6.500% 02/13/37 B/E									
DTD 02/13/07 CALLABLE 08/13/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 08/13/07 CPN PMT SEMI ANNUAL									
ON FEB 13 AND AUG 13									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517PS69									
50,000.00 of these shares are in your margin account									
50,000.00	10/27/08	8.7350	4,406.22	8.2020	4,101.00	-305.22	0.00		
Original Cost Basis: \$4,367.50									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 6.500% 06/21/37 B/E									
DTD 06/21/07 CALLABLE 06/21/12									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 12/21/07 CPN PMT SEMI ANNUAL									
ON JUN 21 AND DEC 21									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517PW23									
26,000.00	01/26/09	7.7700	1,994.18	12.2500	3,185.00	1,190.82	0.00		
Original Cost Basis: \$2,004.50									

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80064660CSP10023



Account Number:
ROBERT C FRANZ

PAP-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage **Account Statement**

Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NOTES 0.000% 01/28/20 B/E									
DTD 01/28/05 CALLABLE 04/28/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 04/28/05 CPN PMT QUARTERLY									
ON I.A.I.O 28									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517PVM7									
35,000.00 of these shares are in your margin account									
25,000.000	12/03/08	5.5120	1,378.00	12.2500	3,062.50	1,684.50	0.00		
Original Cost Basis: \$1,378.00									
10,000.000	12/15/08	4.2800	428.00	12.2500	1,225.00	797.00	0.00		
Original Cost Basis: \$428.00									
35,000.000	Total		\$1,806.00		\$4,287.50	\$2,481.50	\$0.00		\$0.00
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS TRANCHE # TR 00543									
0.000% 02/09/17 B/E DTD 02/09/05									
SECURITY IN DEFAULT 1ST CPN DTE 03/09/05									
CPN PMT MONTHLY ON 09									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517PYQ8									
15,000.00 of these shares are in your margin account									
15,000.000	11/18/08	7.8020	1,170.32	12.2500	1,837.50	667.18	0.00		
Original Cost Basis: \$1,165.50									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS VAR TRANCHE # TR 00549									
0.000% 03/23/20 B/E DTD 03/23/05									
CALLABLE 03/23/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 06/23/05									
CPN PMT QUARTERLY ON M.A.S.D 23									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517PWN5									

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Account Number: 4000000000
ROBERT C FRANZ

PAP-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

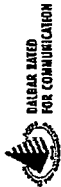
Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROTHERS HLDGS INC (continued)									
100,000.000	10/21/08	8.8450	8,844.84	12.2500	12,250.00	3,405.16	0.00		
Original Cost Basis: \$8,186.00									
LEHMAN BROTHERS HLDGS INC									
MEDIUM TERM NTS 0.000% 05/24/22 B/E									
DTE 05/24/07 CALLABLE 05/24/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 08/24/07 CPN PMT QUARTERLY									
ON F,M,A,N 24									
Moody Rating B3 S & P Rating D									
Security Identifier: 52517P2H3									
30,000.000	12/15/08	5.1270	1,538.00	13.0000	3,900.00	2,362.00	0.00		
Original Cost Basis: \$1,538.00									
LEHMAN BROS HLDGS INC LEHMAN NOTES									
5.700% 01/28/18 B/E DTE 01/28/03									
CALLABLE 03/15/09 @ 100,000									
SECURITY IN DEFAULT 1ST CPN DTE 02/15/03									
CPN PMT MONTHLY ON 15									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FAE1									
16,000.000	10/21/08	7.7060	1,238.70	13.0000	2,080.00	841.30	0.00		
Original Cost Basis: \$1,233.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
5.550% 02/11/18 B/E DTE 02/11/03									
CALLABLE 03/15/09 @ 100,000									
SECURITY IN DEFAULT 1ST CPN DTE 03/15/03									
CPN PMT MONTHLY ON 15									
Moody Rating B3									
Security Identifier: 52519FAL5									
5,000.000	10/21/08	8.1600	410.16	13.0000	650.00	239.84	0.00		
Original Cost Basis: \$408.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE # TR 00012 5.500% 02/19/18 B/E									
DTE 02/19/03 CALLABLE 03/15/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 03/15/03 CPN PMT MONTHLY									
ON 15									
Moody Rating B3									
Security Identifier: 52519FAM3									

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B00646500B010023



Account Number: 0000000000
ROBERT C FRANZ

PAR-02-FOLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage Account Statement

Statement Period: 02/01/2009 - 02/28/2009

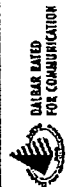
Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS (continued)									
10,000.000	10/21/08	7.8300	787.06	13.0000	1,300.00	512.94	0.00		
Original Cost Basis: \$783.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00019 5.500% 03/14/23 B/E									
DTE 03/14/03 CALLABLE 03/14/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 04/14/03 CPN PMT MONTHLY									
ON 14									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FAU5									
10,000.000	09/18/08	10.2800	1,028.91	13.0000	1,300.00	271.09	0.00		
Original Cost Basis: \$1,028.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
5.400% 03/20/20 B/E DTE 03/25/03									
CALLABLE 03/15/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 04/15/03									
CPN PMT MONTHLY ON 15									
Moody Rating A2 S & P Rating A									
Security Identifier: 52518FAV3									
25,000.000	10/09/08	9.7200	2,439.25	13.0000	3,250.00	810.75	0.00		
Original Cost Basis: \$2,430.00									
LEHMAN BROS HLDGS INC LEHMAN NOTES									
5.250% 05/20/23 B/E DTE 05/20/03									
CALLABLE 03/20/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 06/20/03									
CPN PMT MONTHLY ON 20									
Moody Rating B3									
Security Identifier: 52519FBE0									
10,000.000	11/18/08	7.7800	778.03	13.0000	1,300.00	521.97	0.00		
Original Cost Basis: \$778.00									

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Account Number: 4
ROBERT C FRANZ

PAR-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

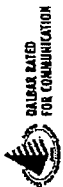
Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS									
5.000% 05/30/23 B/E									
5.000% 05/30/23 B/E DTD 05/30/03									
CALLABLE 02/28/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 06/30/03									
CPN PMT MONTHLY ON 30									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FBG5									
65,000.00 of these shares are in your margin account									
7,000.000	10/09/08	10.2860	721.42	13.0000	910.00	188.58	0.00		
Original Cost Basis: \$720.00									
65,000.000	12/03/08	6.0050	3,904.43	13.0000	8,450.00	4,545.57	0.00		
Original Cost Basis: \$3,903.00									
72,000.000 Total			\$4,625.85		\$9,360.00	\$4,734.15	\$0.00	\$0.00	
LEHMAN BROS HLDGS INC LEHMAN NTS									
MEDIUM TERM NTS TRANCHE # TR 00044									
5.500% 10/07/23 B/E DTD 10/07/03									
CALLABLE 03/07/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 11/07/03									
CPN PMT MONTHLY ON 07									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FBVZ									
5,000.000	10/21/08	8.1600	407.74	13.0000	650.00	242.26	0.00		
Original Cost Basis: \$408.00									
LEHMAN BROS HLDGS INC LEHMAN NOTES									
TRANCHE # TR 00047 6.000% 10/23/28 B/E									
DTD 10/23/03 CALLABLE 03/23/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 11/23/03 CPN PMT MONTHLY									
ON 23									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FBY6									
5,000.00 of these shares are in your margin account									
5,000.000	11/18/08	7.8100	390.04	13.0000	650.00	259.96	0.00		
Original Cost Basis: \$390.50									

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80064860CSPJ0023



Account Number:
ROBERT C FRANZ

PAP-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage Account Statement

Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NOTES									
6.000%	11/18/28 B/E DTD 11/18/03								
CALLABLE 03/18/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 12/18/03									
CPN PMT MONTHLY ON 18									
Moody Rating B3									
Security Identifier: 52519FC85									
33,000.00 of these shares are in your margin account									
5,000.00	11/18/08	7.8100	390.01	13.0000	650.00	259.99	0.00		
Original Cost Basis: \$390.50									
28,000.00	12/03/08	5.5110	1,542.62	13.0000	3,640.00	2,097.38	0.00		
Original Cost Basis: \$1,543.00									
33,000.00	Total		\$1,932.63		\$4,290.00	\$2,357.37	\$0.00	\$0.00	
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCE# SR 00057 5.500% 02/17/29 B/E									
DTD 02/17/04 CALLABLE 03/17/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 03/17/04 CPN PMT MONTHLY ON 17									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FC8									
10,000.00	10/21/08	7.8300	782.96	13.0000	1,300.00	517.04	0.00		
Original Cost Basis: \$783.00									
LEHMAN BROTHERS HLDGS INC LEHMAN									
MEDIUM TERM NOTES TRANCHE# TR 00058									
5.500% 02/24/29 B/E DTD 02/24/04									
CALLABLE 03/24/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 03/24/04									
CPN PMT MONTHLY ON 24									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FC85									
16,000.00	07/26/09	7.1380	1,142.19	13.0000	2,080.00	937.81	0.00		
Original Cost Basis: \$1,142.00									

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Account Number:
ROBERT C FRANZ

PAP-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

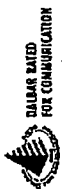
Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Requisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00061 5.400% 03/30/29 B/E									
DTD 03/30/04 CALLABLE 03/30/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 04/30/04 CPN PMT MONTHLY									
ON 30									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FCN9									
10,000.000	10/09/08	10.3000	1,030.27	13.0000	1,300.00	269.73	0.00		
Original Cost Basis: \$1,030.00									
LEHMAN BROS HLDGS INC LEHMAN MEDIUM									
TERM NTS TRANCHE # TR 00062									
5.450% 04/05/29 B/E DTD 04/06/04									
CALLABLE 04/06/09 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 05/05/05									
CPN PMT MONTHLY ON 06									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FCP4									
25,000.000	12/03/08	5.5120	1,378.02	13.0000	3,250.00	1,871.98	0.00		
Original Cost Basis: \$1,378.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00064 6.000% 04/30/34 B/E									
DTD 04/30/04 CALLABLE 04/30/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 10/30/04 CPN PMT SEMI ANNUAL									
ON APR 30 AND OCT 30									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FCR0									
104,000.000	09/18/08	10.7860	753.24	13.0000	910.00	156.76	0.00		
Original Cost Basis: \$755.00									
58,000.000	11/18/08	7.7550	4,462.73	13.0000	7,540.00	3,077.27	0.00		
Original Cost Basis: \$4,498.00									
39,000.000	12/03/08	5.5080	2,131.60	13.0000	5,070.00	2,938.40	0.00		
Original Cost Basis: \$2,148.00									
104,000.000	Total		\$7,347.57		\$13,520.00	\$6,172.43	\$0.00		

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B0064860CSF30023



Account Number:
ROBERT C FRANZ

PAR-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage Account Statement

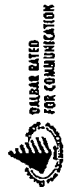
Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Date Acquisition	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC MEDIUM TERM NTS									
TRANCHE # TR 00066 5.900% 05/04/29 B/E									
DTD 05/04/04 CALLABLE 05/04/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 06/04/04 CPN PMT MONTHLY									
ON 04									
Moody Rating A2 S & P Rating A									
Security Identifier 52519FC16									
11,000.000	01/26/09	7.1430	785.40	13.0000	1,430.00	644.60	0.00		
Original Cost Basis: \$785.75									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00067 6.000% 05/11/29 B/E									
DTD 05/11/04 CALLABLE 05/11/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 11/11/04 CPN PMT SEMI ANNUAL									
ON MAY 11 AND NOV 11									
Moody Rating B3									
Security Identifier 52519FCU3									
2,000.000	10/21/08	9.1500	181.56	13.0000	260.00	78.44	0.00		
Original Cost Basis: \$183.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00068 6.200% 05/25/29 B/E									
DTD 05/25/04 CALLABLE 05/25/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 06/25/04 CPN PMT MONTHLY									
ON 25									
Moody Rating B3 S & P Rating D									
Security Identifier 52519FCV1									
47,000.000 of these shares are in your margin account									
47,000.000	12/03/08	5.5060	2,588.93	13.0000	6,110.00	3,521.07	0.00		
Original Cost Basis: \$2,588.00									

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Account Number:
ROBERT C FRANZ

PAY-02-POLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

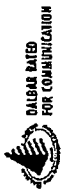
Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.050% 06/29/29 B/E DTD 06/29/04									
CALLABLE 06/29/09 @ 100.0000									
SECURITY IN DEFAULT 1ST CPN DTE 07/29/04									
CPN PMT MONTHLY ON 29									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FCW9									
25,000.000 of these shares are in your margin account									
12/03/08		5.5120	1,378.96	13.0000	3,250.00	1,871.04	0.00		
Original Cost Basis: \$1,378.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# TR 00070 6.000% 07/30/34 B/E									
DTD 07/30/04 CALLABLE 07/30/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 08/30/04 CPN PMT MONTHLY									
ON 30									
Moody Rating B3									
Security Identifier: 52519FCX7									
40,000.00 of these shares are in your margin account									
12/03/08		5.5080	2,204.23	13.0000	5,200.00	2,995.77	0.00		
Original Cost Basis: \$2,203.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE# SR 00071 6.000% 07/20/29 B/E									
DTD 07/20/04 CALLABLE 07/20/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 08/20/04 CPN PMT MONTHLY									
ON 20									
Moody Rating B3									
Security Identifier: 52519FCY5									
20,000.000 of these shares are in your margin account									
07/26/09		7.1400	1,427.50	13.0000	2,600.00	1,172.50	0.00		
Original Cost Basis: \$1,428.00									
17,000.000 of these shares are in your margin account									
07/27/09		8.0120	1,361.71	13.0000	2,210.00	848.29	0.00		
Original Cost Basis: \$1,362.00									
Total			\$2,789.21		\$4,810.00	\$2,020.79	\$0.00	\$0.00	

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Account Number: 4
ROBERT C FRANZ

PAP-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage Account Statement

Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCE# SR 00076 5.700% 09/07/29 B/E									
DTE 09/07/04 CALLABLE 09/07/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 10/07/04 CPN PMT MONTHLY									
ON 07									
Moody Rating A2 S & P Rating A									
Security Identifier: 52519FDD0									
10,000.000	01/26/09	7.1450	714.58	13.0000	1,300.00	585.32	0.00		
Original Cost Basis: \$714.50									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCE# TR 00081 5.700% 12/14/29 B/E									
DTE 12/14/04 CALLABLE 12/14/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 01/14/05 CPN PMT MONTHLY									
ON 14									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FDJ7									
50,000.00 of these shares are in your margin account									
10,000.000	10/09/08	10.0300	1,002.65	13.0000	1,300.00	297.35	0.00		
Original Cost Basis: \$1,003.00									
50,000.000	12/03/08	5.5060	2,752.12	13.0000	6,500.00	3,747.88	0.00		
Original Cost Basis: \$2,753.00									
60,000.000	Total		\$3,754.77		\$7,800.00	\$4,045.23	\$0.00	\$0.00	
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCE # TR 00082 5.550% 12/31/34 B/E									
DTE 12/31/04 CALLABLE 12/31/09									
@ 100,000 SECURITY IN DEFAULT									
1ST CPN DTE 01/31/05 CPN PMT MONTHLY									
ON 31									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FDK4									

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Account Number: 4
ROBERT C FRANZ

PAR-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS (continued)									
6,000.000	10/09/08	10.5500	633.42	13.0000	780.00	146.58	0.00		
Original Cost Basis: \$633.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
TRANCHE # TR 00084 5.550% 01/25/30 B/E									
DTD 01/25/05 CALLABLE 01/25/10									
@ 100.000 SECURITY IN DEFAULT									
TST CPN DTE 02/25/05 CPN PMT MONTHLY									
ON 25									
Moody Rating B3 S & P Rating D									
Security Identifier 52519FDM0									
11,000.000	10/09/08	10.2500	1,128.15	13.0000	1,430.00	301.85	0.00		
Original Cost Basis: \$1,127.50									
LEHMAN BROS HLDGS INC LEHMAN NTS									
5.800% 10/25/30 B/E DTD 10/25/05									
CALLABLE 10/25/10 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 11/25/05									
CPN PMT MONTHLY ON 25									
Moody Rating B3 S & P Rating D									
Security Identifier 52519FED9									
5,000.000	11/18/08	7.8100	390.11	13.0000	650.00	259.89	0.00		
5,000.00 of these shares are in your margin account									
Original Cost Basis: \$390.50									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.150% 04/11/31 B/E DTD 04/11/06									
CALLABLE 04/11/11 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 05/11/06									
CPN PMT MONTHLY ON 11									
Moody Rating B3 S & P Rating D									
Security Identifier 52519FEL1									
6,000.000	11/18/08	7.8000	468.31	13.0000	780.00	311.69	0.00		
6,000.00 of these shares are in your margin account									
Original Cost Basis: \$468.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.500% 09/20/27 B/E DTD 09/18/07									
CALLABLE 09/20/11 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 03/20/08									
CPN PMT SEMI ANNUAL ON MAR 20 AND SEP 20									
Moody Rating B3									
Security Identifier 52519FET4									
10,000.00 of these shares are in your margin account									

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800646606CF3023



Account Number: ROBERT C FRANZ

PAR-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500

MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage Account Statement

Statement Period: 02/01/2009 - 02/28/2009

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS (continued)									
10,000.000	11/18/08	7.7600	784.88	13.0000	1,300.00	515.12	0.00		
Original Cost Basis: \$778.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.500% 01/17/33 B/E DTD 01/15/08									
CALLABLE 01/17/13 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 07/17/08									
CPN PMT SEMI ANNUAL ON JAN 17 AND JUL 17									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FFB2									
20,000.000	10/15/08	6.6900	1,347.30	13.0000	2,600.00	1,252.70	0.00		
Original Cost Basis: \$1,338.00									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.250% 02/05/21 B/E DTD 02/05/08									
CALLABLE 02/05/10 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 08/05/08									
CPN PMT SEMI ANNUAL ON FEB 05 AND AUG 05									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FFB5									
124,000.00 of these shares are in your margin account									
24,000.000	10/02/08	10.1580	2,454.98	13.0000	3,120.00	665.02	0.00		
Original Cost Basis: \$2,438.00									
100,000.000	11/18/08	8.0530	8,123.39	13.0000	13,000.00	4,876.61	0.00		
Original Cost Basis: \$8,053.00									
50,000.000	01/26/09	7.4310	3,693.29	13.0000	6,500.00	2,806.71	0.00		
Original Cost Basis: \$3,715.50									
174,000.000	Total		\$14,271.66		\$22,620.00	\$8,348.34	\$0.00	\$0.00	

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B0064860CSE70023



Account Number:
ROBERT C FRANZ

PART-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
LEHMAN BROS HLDGS INC LEHMAN NTS									
6.500%	03/06/23 B/E DTD 03/04/08								
CALLABLE 03/06/13 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 09/06/08									
CPN PMT SEMI ANNUAL ON MAR 06 AND SEP 06									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FFH9									
10,000.00 of these shares are in your margin account									
10,000.000	11/18/08	7.7800	789.43	13.0000	1,300.00	510.57	0.00		
Original Cost Basis: \$778.00									
25,000.000	01/26/09	7.1330	1,804.69	13.0000	3,250.00	1,445.31	0.00		
Original Cost Basis: \$1,783.25									
35,000.000	Total		\$2,594.12		\$4,550.00	\$1,955.88	\$0.00	\$0.00	
LEHMAN BROS HLDGS INC LEHMAN NTS									
MTN 7.250% 04/29/38 B/E									
DTD 04/29/08 CALLABLE 04/29/11									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 10/29/08 CPN PMT SEMI ANNUAL									
ON APR 29 AND OCT 29									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FFM8									
75,000.00 of these shares are in your margin account									
75,000.000	10/27/08	8.6980	6,420.92	13.0000	9,750.00	3,329.08	0.00		
Original Cost Basis: \$6,523.75									
LEHMAN BROS HLDGS INC LEHMAN NTS									
7.350% 05/06/38 B/E DTD 05/06/08									
CALLABLE 05/06/11 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 11/06/08									
CPN PMT SEMI ANNUAL ON MAY 06 AND NOV 06									
Moody Rating B3 S & P Rating D									
Security Identifier: 52519FFN6									
25,000.00 of these shares are in your margin account									
25,000.000	09/15/08	25.2200	6,303.39	13.0000	3,250.00	-3,053.39	0.00		
Original Cost Basis: \$6,305.00									

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B0064860CSP0023



Account Number:
ROBERT C FRANZ

PAR-02-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

TRADITION ASIEL SECURITIES, INC.
75 PARK PLACE, 4TH FLOOR
NEW YORK, NEW YORK 10007
212-791-4500



MEMBERS OF:
NEW YORK STOCK EXCHANGE, INC.
FINRA AND OTHER PRINCIPAL EXCHANGES

Brokerage **Account Statement**

Statement Period: 02/01/2009 - 02/28/2009

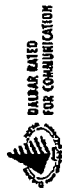
Portfolio Holdings (continued)

The total percent allocation of Global Securities is 1.00%.

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income (continued)									
Corporate Bonds (continued)									
9LEHMAN BROS HLDGS INC MTN									
7.000%	02/08/38 B/E DTD 02/08/08								
CALLABLE 02/08/11 @ 100.000									
SECURITY IN DEFAULT 1ST CPN DTE 08/08/08									
CPN PMT SEMI ANNUAL ON FEB 08 AND AUG 08									
Moody Rating B3 S & P Rating D									
Security Identifier: 5252M0C81									
25.000.000	01/26/09	7.1330	1,773.27	N/A	0.00	N/A	0.00		
Original Cost Basis: \$1,783.25									
9LEHMAN BROS HLDGS INC MEDIUM TERM NTS									
VAR RATE 0.000% 02/14/23 B/E									
DTD 02/14/08 CALLABLE 05/14/09									
@ 100.000 SECURITY IN DEFAULT									
1ST CPN DTE 05/14/08 CPN PMT QUARTERLY									
ON F.M.A.N 14									
Moody Rating CAA3									
Security Identifier: 5252M0CM7									
42.000.000	10/09/08	9.8810	4,150.00	N/A	0.00	N/A	0.00		
Original Cost Basis: \$4,150.00									
9NUTRITIONAL SOURCING CORP SR SECND NT									
10.125% 08/01/09 B/E DTD 08/05/03									
CALLABLE 1ST CPN DTE 02/01/04									
Security Identifier: 67068BAAB									
5,229.00	03/15/06	64.1240	143.21	N/A	0.00	N/A	0.00		
of these shares are in your margin account									
153.000	03/15/06	50.7490	4,575.82	N/A	0.00	N/A	0.00		
Original Cost Basis: \$98.11									
5,076.000	04/05/06								
Original Cost Basis: \$2,576.00									
5,229.000	Total		\$4,719.03		\$0.00	N/A	\$0.00	\$0.00	

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Account Number:
ROBERT C FRANZ

PAPER-ROLL

Clearing Through Pershing LLC, a subsidiary of The Bank of New York Mellon Corporation
Member FINRA, NYSE, SIPC

Express

The World On Time
Legal Size

Align bottom of Peel and Slick Airbill here.

CERTIFICATE OF SERVICE

I hereby certify that on June 15, 2011, a true and correct copy of the foregoing Response of Robert Franz to Debtors' One Hundred Fortieth Omnibus Objection to Claims (Duplicative of Indenture Trustee Claims) and this Certificate of Service were filed electronically with the Court. Notice of this filing will be sent today to all parties via the Court's electronic filing system.

Dated: June 15, 2011

WHITE AND WILLIAMS LLP

By: /s/ Sedgwick M. Jeanite
Sedgwick M. Jeanite, Esq.
White and Williams LLP
One Penn Plaza, Suite 4110
New York, NY 10119

EXHIBIT D

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

-----X	
In re	: Chapter 11 Case No.
	:
LEHMAN BROTHERS HOLDINGS INC., et al.,	: 08-13555 (JMP)
	:
Debtors.	: (Jointly Administered)
-----X	

**ORDER GRANTING DEBTORS' NINETY-SECOND OMNIBUS
OBJECTION TO CLAIMS (NO BLOCKING NUMBER LPS CLAIMS)**

Upon the ninety-second omnibus objection to claims, dated February 14, 2011 (the "Ninety-Second Omnibus Objection to Claims"),¹ of Lehman Brothers Holdings Inc. and its affiliated debtors in the above-referenced chapter 11 cases, as debtors and debtors in possession (collectively, the "Debtors"), pursuant to section 502(b) of title 11 of the United States Code (the "Bankruptcy Code"), Rule 3007(d) of the Federal Rules of Bankruptcy Procedure, and this Court's order approving procedures for the filing of omnibus objections to proofs of claim [Docket No. 6664] (the "Procedures Order"), seeking disallowance and expungement of the No Blocking Number LPS Claims on the grounds that the Bar Date Order required that such claims include an electronic instruction reference number or a blocking reference number, all as more fully described in the Ninety-Second Omnibus Objection to Claims; and due and proper notice of the Ninety-Second Omnibus Objection to Claims having been provided to (i) the U.S. Trustee; (ii) the attorneys for the Creditors' Committee; (iii) the Securities and Exchange Commission; (iv) the Internal Revenue Service; (v) the United States Attorney for the Southern District of New York; (vi) each claimant listed on Exhibit A attached to the Ninety-Second Omnibus Objection to Claims; and (vii) all other parties entitled to notice in accordance with the

¹ Capitalized terms used herein and not otherwise defined herein shall have the meanings ascribed to such terms in the Debtors' Ninety-Second Omnibus Objection to Claims.

procedures set forth in the second amended order entered on June 17, 2010, governing case management and administrative procedures for these cases [Docket No. 9635], and it appearing that no other or further notice need be provided; and the Court having found and determined that the relief sought in the Ninety-Second Omnibus Objection to Claims is in the best interests of the Debtors, their estates, creditors, and all parties in interest and that the legal and factual bases set forth in the Ninety-Second Omnibus Objection to Claims establish just cause for the relief granted herein; and after due deliberation and sufficient cause appearing therefore, it is

ORDERED that the relief requested in the Ninety-Second Omnibus Objection to Claims is granted to the extent provided herein; and it is further

ORDERED that, pursuant to section 502(b) of the Bankruptcy Code, the claims listed on Exhibit 1 annexed hereto (collectively, the “No Blocking Number LPS Claims”) are disallowed and expunged in their entirety with prejudice; and it is further

ORDERED that the Debtors have withdrawn without prejudice the Ninety-Second Omnibus Objection to Claims with respect to the claims listed on Exhibit 2 annexed hereto; and it is further

ORDERED that the Debtors have adjourned to April 28, 2011 (or as may be further adjourned by the Debtors) the Ninety-Second Omnibus Objection to Claims with respect to the claims listed on Exhibit 3 annexed hereto; and it is further

ORDERED that this Order supersedes all previous orders regarding the No Blocking Number LPS Claims listed on Exhibit 1 annexed hereto; and it is further

ORDERED that this Order has no res judicata, estoppel, or other effect on the validity, allowance, or disallowance of, and all rights to object and defend on any basis are expressly reserved with respect to, any claim listed on Exhibit A annexed to the Ninety-Second

Omnibus Objection to Claims that is not listed on Exhibit 1 annexed hereto; and it is further

ORDERED that this Court shall retain jurisdiction to hear and determine all matters arising from or related to this Order.

Dated: New York, New York
March 31, 2011

s/ James M. Peck
UNITED STATES BANKRUPTCY JUDGE

EXHIBIT 1

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
1	AHED BISSAR SAAD RICHARD BISSAR ABUD NADIM BISSAR ABUD ALBORADA #420, TLALPAN 14010 MEXICO		Lehman No Case Asserted/All Cases Asserted	09/08/2009	10668	\$100,000.00	No Blocking Number LPS Claim
2	ALOIA, FABIOLA VIA MADRE T. DI CALCUTTA NO. 10 FAGNANO COSENZA, 87013 ITALY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/03/2009	7077	\$17,940.00	No Blocking Number LPS Claim
3	ANDOVER ASSOCIATES LIMITED TRANSEXPRESS, VIPSAWL # 257 P. O. BOX 52-5364 MIAMI, FL 33152	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/22/2009	29097	\$50,005.15	No Blocking Number LPS Claim
4	ANLAGEVERMOGEN, NOSTRO C/O TILP INTERNATIONAL AG BIRKENWEG 11 SACHSELN, CH-6072 SWITZERLAND	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/30/2009	60515	\$709,800.00	No Blocking Number LPS Claim
5	ASKI INTERNATIONAL INC ATUL JOGANI 57/14 BAAN SATHORN, SATHORN SOI1 SATHORN ROAD BANGKOK, 10120 THAILAND		Lehman No Case Asserted/All Cases Asserted	07/30/2009	6756	\$200,000.00	No Blocking Number LPS Claim
6	AUSETH, PAL ROBERT WEIDEMANHSU 45 TROMDHEIM, 7043	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/09/2009	37279	\$2,592.00	No Blocking Number LPS Claim
7	AVOLIO, VINCENZINO VIA MARGHERITA NO. 124 FAGNANO C. (COSENZA), 87013 ITALY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	06/30/2009	5052	Undetermined	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
8	BANQUE MARTIN MAUREL SELLA VILLA DU PONT 3BD PRINCESSE CHARLOTTE BP 175 MONACO CEDEX, MC 98003 MONACO	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/30/2009	60486	\$14,238.00	No Blocking Number LPS Claim
9	BASSLER, STEFFEN H.L. SEESTR. 193 KILCHBERG, 8802 SWITZERLAND	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/07/2009	7648	\$20,000.00	No Blocking Number LPS Claim
10	BICE, GIROTTI & DORIANO, CAVICCHIOLI VIA F. FILZI N 55 SASSUOLO (MODENA), 41049 ITALY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	06/04/2009	4759	\$12,262.82	No Blocking Number LPS Claim
11	BIER, THOMAS MERKUR BANK KGAA MS HILDEGARD BELL STEUARTSTR. 1 INGOLSTADT, 85049	08-13555 (JMP)	Lehman Brothers Holdings Inc.	04/20/2009	3860	\$15,802.80	No Blocking Number LPS Claim
12	BODE, MICHAEL AN DER WIPPERAU 7 UELZEN, D-29525 GERMANY		Lehman No Case Asserted/All Cases Asserted	09/21/2009	26284	\$14,899.14	No Blocking Number LPS Claim
13	BOHNISCH, INGRID RECHTSANWALT FRANK FESER DELLBRUCKER MAUSPFAD 319 KOLN, 51069 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	03/30/2009	3571	\$12,760.00	No Blocking Number LPS Claim
14	BUDAPEST SPC LTD SEGREGATED PORTFOLIO # 2 PO BOX 875, ROAD TOWN TORTOLA, VG1110 VIRGIN ISLANDS (BRITISH)		Lehman No Case Asserted/All Cases Asserted	08/14/2009	8255	\$1,000,000.00	No Blocking Number LPS Claim

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
15	BUDAPEST SPC LTD SEGREGATED PORTFOLIO # 1 PO BOX 875, ROAD TOWN TORTOLA, VG1110 VIRGIN ISLANDS (BRITISH)		Lehman No Case Asserted/All Cases Asserted	08/14/2009	8256	\$1,000,000.00	No Blocking Number LPS Claim
16	CAVEZZALI, DIEGO ATTN: TANYA VLADIMIROV 8020 GEARY BLVD SAN FRANCISCO, CA 94121	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/30/2009	57725	\$270,232.00	No Blocking Number LPS Claim
17	CHAN LAI KAN RM 1024 MING SHUN LAU JAT MIN CHUEN SHATIN NT HONG KONG SAR.,		Lehman No Case Asserted/All Cases Asserted	06/05/2009	4776	\$65,000.00	No Blocking Number LPS Claim
18	CHLADEK, IRMIN NESTROYWEG 14 WR. NEUDORF, A-2351 AUSTRIA		Lehman No Case Asserted/All Cases Asserted	09/14/2009	11974	\$8,200.00	No Blocking Number LPS Claim
19	CREDICORP SECURITIES INC., FOR THE BENEFIT OF JUDITH EVA SINGER AND/OR HERSH WEINBERG 50 ST. BLDG CREDICORP BANK PANAMA 1ST FL PANAMA, PANAMA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	12/01/2008	1158	\$80,000.00	No Blocking Number LPS Claim
20	CREDITO PRIVATO COMMERCIALE SA VIA ZURIGO 46 LUGANO, 6901 SWITZERLAND	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/23/2009	34701	\$600,000.00	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
21 DE BONDY, H. AND/OR DE BONDY- GOEDHART, E.J. DOMELA NIEUWENHUISSTRAAT 24 PAPENDRECHT, 3354 AE NETHERLANDS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/19/2009	41654	\$6,890.98	No Blocking Number LPS Claim
22 DEANE SUPERANNUATION PTY LTD C/O CA VENDORISH SUPERANNUATION PTY LTD GPO BOX 9981 ADELAIDE, SOUTH AUSTRALIA, 5001 AUSTRALIA		Lehman No Case Asserted/All Cases Asserted	11/30/2009	65771	\$43,620.00	No Blocking Number LPS Claim
23 DIAZ, JUAN ROMERO C/SENOR DE AZAGRA 6-17 VALENCIA, 46022 SPAIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/04/2009	8241	\$14,285.60	No Blocking Number LPS Claim
24 DICK-KIN, WU FLAT F. 6TH FLOOR 49 BROADCAST DRIVE KOWLOON, HONG KONG		Lehman No Case Asserted/All Cases Asserted	08/12/2009	8047	\$100,000.00	No Blocking Number LPS Claim
25 DODSON FINANCIAL LIMITED TRANSEXPRESS VISPAL #257 P.O. BOX 52-5364 MIAMI, FL 33152-5364	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/22/2009	29100	\$50,005.15	No Blocking Number LPS Claim
26 DRRT FBO SWISS LIFE (LIECHTENSTEIN) AG 0835-1290711-6 100 SE 2ND STREET, SUITE 2610 MIAMI, FL 33131		Lehman No Case Asserted/All Cases Asserted	09/22/2009	32634	Undetermined	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
27	ERFURT, KLAUS JASMIN ERFURT KALANDSTR. 7 LUBECK, 23564 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/29/2009	2192	\$39,149.45	No Blocking Number LPS Claim
28	ESPUELAS, MANUEL C/CRISTOBAL BORDIU, 19, 3 DCHA. MADRID, 28003 SPAIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/11/2009	8247	\$14,283.67	No Blocking Number LPS Claim
29	FERRAMLI, PIERLUIGI VIA ALESSANDRO VOLTA NO. 1/S 87036 RENDE (COSENZA), ITALY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/28/2009	6552	\$20,700.00	No Blocking Number LPS Claim
30	FIEDLER, GEORG & DORIS SCHILL- FIEDLER C/O ROTTER RECHTSANWAELTE LUISE-ULLRICH-STR. 2 GRUENWALD, D-82031 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	11/02/2009	63858	\$78,298.00	No Blocking Number LPS Claim
31	FINKE-KRAFT, INGEBORG PLAINSTR. 2717 SALZBURG, A, 5020 AUSTRIA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	03/18/2009	3391	\$51,640.00	No Blocking Number LPS Claim
32	FIRMKRANZ, PETER PICHLERGASSE 2/14 WIEN, 1090 AUSTRIA		Lehman No Case Asserted/All Cases Asserted	09/21/2009	24688	\$7,140.00	No Blocking Number LPS Claim
33	FISCHER, MARCUS HERKLOTZGASSE 13/18 WIEN, 1150 AUSTRIA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	17791	\$7,140.00	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
34	FJELD, KENNETH AMUNDTUNET 16 SKOTTERUD, 2230 NORWAY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/28/2009	35185	\$5,000.00	No Blocking Number LPS Claim
35	FORK, CORINNA AN DER WIPPERAU 7 UELZEN, D-29525 GERMANY		Lehman No Case Asserted/All Cases Asserted	09/21/2009	26285	\$29,798.28	No Blocking Number LPS Claim
36	FRANCHI, ANGELA STUDIO CAFFI MARONCELLI & ASSOCIATI VIA VERDI, 4 BERGAMO, 24121 ITALY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/02/2009	5104	\$74,385.97	No Blocking Number LPS Claim
37	FRANCHI, ANTONIETTA STUDIO CAFFI MARONCELLI & ASSOCIATI VIA VERDI, 4 BERGAMO, 24121 ITALY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/02/2009	5081	\$23,883.11	No Blocking Number LPS Claim
38	FRANCHI, MARIA PIERA STUDIO CAFFI MARONCELLI & ASSOCIATI VIA VERDI, 4 BERGAMO, 24121 ITALY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/02/2009	5086	\$115,087.72	No Blocking Number LPS Claim
39	FRANKEN, WALTER C/O TILP RECHTSANWAELTE EINHORNSTRASSE 21 KIRCHENTELLINSFURST, D72138 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/30/2009	60520	\$87,124.00	No Blocking Number LPS Claim

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
40	FRANZ, ROBERT 81 DIXON AVE BOONTON, NJ 07005		Lehman No Case Asserted/All Cases Asserted	09/15/2009	13065	\$1,506,452.90	No Blocking Number LPS Claim
	TRANSFERRED TO: ANDROMEDA GLOBAL CREDIT FUND, LTD TRANSFEROR: FRANZ, ROBERT 520 MADISON AVE, 18TH FLR ATTN: ROMULO GARZA NEW YORK, NY 10022					\$621,736.43	
41	FRERS, ERNA & HORST ULLSTEINSTR. 135 BERLIN, 12109 GERMANY		Lehman No Case Asserted/All Cases Asserted	02/09/2009	2664	\$29,762.00	No Blocking Number LPS Claim
42	FRITSCH/PEZZICA G.B.R RINGSTR 20 MAINHAUSEN, 063533 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/29/2009	35546	\$53,450.00	No Blocking Number LPS Claim
43	FUCHS, FABIAN C/O TILP RECHTSANWAELTE EINHORNSTRASSE 21 KIRCHENTELLINSFURT, DE-72138 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/30/2009	60522	\$35,945.90	No Blocking Number LPS Claim
44	GELDARD, CHRISTINE L. CL CUENCA 23 TORRELAMATA ALICANTE, 03188 SPAIN		Lehman No Case Asserted/All Cases Asserted	08/05/2009	7423	\$77,762.00	No Blocking Number LPS Claim
45	GERTRUDE, KINNESWENGER STEINERWEG 4 GRODIG, A-5082 AUSTRIA		Lehman No Case Asserted/All Cases Asserted	09/17/2009	15194	\$67,725.00	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
46	GOLDIX GROUP MANAGEMENT LTD. UBS HOUSE EAST BAY STREET PO BOX N-7757 NASSAU, BAHAMAS		Lehman No Case Asserted/All Cases Asserted	09/16/2009	14324	\$250,000.00	No Blocking Number LPS Claim
47	GONZALEZ DIAZ, RODOLFO AND JESUS RODOLFO G Y GONZALEZ LAGO CAMECUARO # 55 LAGOS DE COUNTRY GUADALAJARA, JAL, CP 44210 MEXICO	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/28/2009	51286	\$140,000.00	No Blocking Number LPS Claim
48	GORHAM SECURITIES INC. TRANSEXPRESS VIPSALE #257, P. O. BOX 52-5364 MIAMI, FL 33152	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/22/2009	29099	\$50,005.15	No Blocking Number LPS Claim
49	GRAEBER, REINER C/O NABER PC 300 CENTRAL AVENUE SUITE 320 GREAT FALLS, MT 59401	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/10/2009	11174	\$46,286.78	No Blocking Number LPS Claim
50	GRIESER, DORIT MATTHIAS HILLEBRAND CRANACHSTR. 3 BERLIN, 12157 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	02/03/2009	2533	\$14,312.00	No Blocking Number LPS Claim
51	GRUN, URSULA FRANZ WALLRAFFSTR. 98 AACHEN, D-52078 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/05/2009	7412	\$21,651.03	No Blocking Number LPS Claim
52	GRUNHOFER, LOTHAR NASSAUISCHE STR. 5 BERLIN, 10717 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/14/2009	12364	\$22,509.11	No Blocking Number LPS Claim

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
53	GRUTLE, LEIF ENDRE NEDRE RONNINGEN 7 TINGVOLL, 6630 NORWAY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25270	\$1,509.15	No Blocking Number LPS Claim
54	GULDNER, STEFAN FRITZ, DR. LUTT SAHL 5 HAMBURG, 22559 GERMANY		Lehman No Case Asserted/All Cases Asserted	08/19/2009	8691	\$195,000.00	No Blocking Number LPS Claim
55	GUSKA, JURGEN NIERENHOFER STR. 95 HATTINGEN, 45529 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	11/03/2008	454	\$10,600.00	No Blocking Number LPS Claim
56	GUSKA, RITA NIERENHOFER STR. 95 HATTINGEN, 45529 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	11/03/2008	453	\$14,340.00	No Blocking Number LPS Claim
57	HAMMER, NINA PEVIK SIGURD HOIDAHL SVEG 5 RANHEIM, 7056 NORWAY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/17/2009	15418	\$9,835.00	No Blocking Number LPS Claim
58	HEIDER, KARL-HEINZ & CHRISTINE SCHMUTTERSTR. 3 BURGAU, BRD, 89331 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	02/09/2009	2637	\$33,813.00	No Blocking Number LPS Claim
59	HELENA VIDIGAL R.P. SILVA TORRES, MARIA RUA ENG. CARLOS AMARANTE, 49 PORTO, 4250-090 PORTUGAL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/27/2009	49818	\$22,681.32	No Blocking Number LPS Claim
60	HERRMANN, STEFAN TITISESTR. 3 D-68163 MANNHEIM, GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	11/17/2008	780	\$42,552.00	No Blocking Number LPS Claim

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
61	HONG KONG BIBLE SOCIETY ROOM 902, ORIENTAL CENTRE, 67 CHATHAM ROAD SOUTH TSMISHATSUI, KOWLOON	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/10/2009	11081	\$950,000.00	No Blocking Number LPS Claim
HONG KONG							
62	IBRAHIM AHMED IBRAHIM FARAG APARTMENT 2501, API WORLD TOWER SHIEKH ZAYED RD P.O. BOX 33875 DUBAI, UNITED ARAB EMIRATES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	12/16/2008	1344	\$319,982.00	No Blocking Number LPS Claim
63	JASUSATO CORP. 444 BRICKELL AVE. #51-838 MIAMI, FL 33131-2403	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/21/2009	43227	\$250,000.00	No Blocking Number LPS Claim
64	JOHN JAY COUGHLAN INVESTMENT TRUST JOHN JAY AND JULE D. COUGHLAN 17550 BELFAST COVE EDEN PRAIRIE, MN 55347	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/27/2009	2048	\$250,000.00	No Blocking Number LPS Claim
65	JOSEF, UHL TANZELSDORF 55 GROSS ST FLORIAN, A-8522 AUSTRIA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/01/2009	5066	\$21,000.00	No Blocking Number LPS Claim
66	JS CRESVALE CAPITAL LIMITED UNITS 3712-13, 37/F., COSCO TOWER 183 QUEEN'S ROAD CENTRAL HONG KONG	08-13555 (JMP)	Lehman Brothers Holdings Inc.	12/15/2008	1321	\$500,000.00	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
67	KALTENBACH, KURT AM BIENENSTOCK 14A FRANKFURT AM MAIN, D-60388 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	12/24/2009	66020	\$114,694.30	No Blocking Number LPS Claim
68	KARLSEN, HARRY STALLERUDUEIEN 71 OSLO, 0693 NORWAY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/28/2009	35184	\$17,279.00	No Blocking Number LPS Claim
69	KARNIEL, OUZIEL HAKARMEL 5 RAMAT HASHARON, 47230 ISRAEL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	11/02/2009	62793	\$132,100.00*	No Blocking Number LPS Claim
70	KELLER, ULRICH-MICHAEL REUTLINGERSTR. 59/1 ESSLINGEN, 73728 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/30/2009	57759	\$14,243.00	No Blocking Number LPS Claim
71	KHANCHANDANI, RAMESH TARACHAND & LAJWANTI RAMESH PO BOX 12822 DUBAI, UNITED ARAB EMIRATES	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/23/2009	34596	\$200,000.00	No Blocking Number LPS Claim
72	KINDINGSTAD, ROBERT EINAR BRENNASTUBBEN 10 OSLO, 1279 NORWAY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/22/2009	44384	\$502.84	No Blocking Number LPS Claim
73	KOCH, KLAUS AM RING 33 TECKLENBURG, D-49545 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/26/2009	1943	\$9,100.00	No Blocking Number LPS Claim
74	KOCH, KLAUS AM RING 33 TECKLENBURG, D-49545 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/26/2009	1944	\$10,400.00	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
75	KOCH, PAULINE AM RING 33 TECKLENBURG, D49545 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/26/2009	1945	\$13,000.00	No Blocking Number LPS Claim
76	KOHLHAMMER, HERTA BRANDAUERWEG 13B A-8054 GRAZ AUSTRIA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	06/29/2009	5042	\$5,600.00	No Blocking Number LPS Claim
77	KRANZLER, CHRISTOPH HAERGASSE 3 GUNTRENSDORF, 2353 AUSTRIA		Lehman No Case Asserted/All Cases Asserted	09/16/2009	13347	\$21,400.00	No Blocking Number LPS Claim
78	KURALI, ZOLTAN H-1021 BUDAPEST OTVOS JANOS UTCA 1/A/2 HUNGARY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/03/2008	87	\$60,149.00	No Blocking Number LPS Claim
79	LATAM INVESTMENTS, LLC JOHN LOWTHER, DOYLE LOWTHER LLP 9466 BLACK MOUNTAIN ROAD, STE 210 SAN DIEGO, CA 92126	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/05/2009	1576	\$14,668,000.00	No Blocking Number LPS Claim
80	LIN, KUAN-WU REICHENBERGERSTR. 30 BERLIN, 10999 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/29/2009	2179	\$8,146.48	No Blocking Number LPS Claim
81	LINK, MARLENE C/O NABER PC 300 CENTRAL AVENUE SUITE 320 GREAT FALLS, MT 59401	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/10/2009	11185	\$11,571.70	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
82	LINKE, CHRISTA KURMAINZER WEG 15 GOTTINGEN, 37083 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	11/02/2009	61424	\$8,781.27	No Blocking Number LPS Claim
83	LIONTA, STAVROULA/KALANITSIS, NICOLAOS/ KALANITSIS, EVDOKIA C/O ALMI MARINE MANAGEMENT SA 87, KIFISSIAS AVE, MAROUSSI 15124 ATHENS, GREECE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	12/01/2008	1152	\$70,000.00	No Blocking Number LPS Claim
84	LOHR, ANDREAS MAYBACHSTRASSE 28 SACHSENHEIM, 74343 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/17/2009	5555	\$43,500.00	No Blocking Number LPS Claim
85	MARIE ISOBEL ESCOLET VILA CALLE COSPE, 561 BARCELONA, 08070 SPAIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/24/2009	6121	\$16,982.00	No Blocking Number LPS Claim
86	MARTIN, PAUL BUCHENWEG 14 ANZING, 85646 GERMANY		Lehman No Case Asserted/All Cases Asserted	09/08/2009	10519	\$2,722.69	No Blocking Number LPS Claim
87	MATH OP DE KAMP DR. SCHAEPMANSTRAAT 7 SITTARD, 6136 EV NETHERLANDS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/30/2009	60031	\$21,226.50	No Blocking Number LPS Claim
88	MEISSNER, RALF MARSTALLSTRASSE 3 LUDWIGSBURG, D-71634 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	04/30/2009	4030	\$13,191.66	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
89 MIESSNER, RALF MARSTALLSTRASSE 3 LUDWIGSBURG, D-71634 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	04/30/2009	4032	\$19,981.49	No Blocking Number LPS Claim
90 MIR PIPPO, RAMON CL VALENCIA 215 BA BARCELONA, 08007 0 SPAIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/27/2009	2057	Undetermined	No Blocking Number LPS Claim
91 MOLLER, MARTIN WEIMARSTRASSE 15 DREIEICH, 63303 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	02/06/2009	2629	\$45,790.00	No Blocking Number LPS Claim
92 NAVARRO SALVI, CARLOS C/TOTANA 14-15 VALENCIA, 46018	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/05/2009	8243	\$16,968.76	No Blocking Number LPS Claim
93 NES, BJORN RUNAR SKYTTA FARET 27B HAGAN, 1481 NORWAY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/28/2009	35189	\$5,256.97	No Blocking Number LPS Claim
94 NIZAR EL HACHEM A/O YOUSSEF HACHEM AL BOURJ ABYAD BLDG, 10TH FLOOR FACING VOICE OF LEBANON RADIO STN. SASSINE SQ. ACHRAFIEH 0119613444844 LEBANON		Lehman No Case Asserted/All Cases Asserted	09/09/2009	11009	\$94,858.17	No Blocking Number LPS Claim
95 NORDLI, ERIK JOHAN OVENSTADLIA 41 LIERSKOGEN, 3420 NORWAY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/22/2009	44391	\$51,101.00	No Blocking Number LPS Claim

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
96	O'MAHONEY, MICHAEL MOUNT PLEASANT STATION ROAD BLARNEY CO-CORK, IRELAND	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/21/2009	43353	\$149,000.00	No Blocking Number LPS Claim
97	OAH PRIVATSTIFTUNG WIPPLINGERSTRABE 10/10 A-1010 WIEN (VIENNA), AUSTRIA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/23/2009	34679	\$71,551.23	No Blocking Number LPS Claim
98	OFI MANDATS 1 RUE VERNIER PARIS, 75017 FRANCE	08-13555 (JMP)	Lehman Brothers Holdings Inc.	05/20/2009	4466	\$14,245,014.24	No Blocking Number LPS Claim
99	PAGLIARO, MR. F.M.L. & MS. S.V. CHIAPPETTA VIA M.T. DI CALCUTTA NO. 14 87013 FAGNANO C. (COSENZA) ITALY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/07/2009	5167	\$13,800.00	No Blocking Number LPS Claim
100	PASTOR, AMPARO MUNOZ CL GARRIGUES, 6 46001 VALENCIA, SPAIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	06/15/2009	4886	\$212,265.00*	No Blocking Number LPS Claim
101	POSTEL, GERHARD ELBERFELDER STR. 36 BERLIN, D-10555 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/26/2009	1972	\$12,915.00	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
102	PROVINZIAL NORTHWEST HOLDING AG BOLATTI & GRIFFITH LLP 45 BROADWAY, SUITE 2200 NEW YORK, NY 10006	08-13555 (JMP)	Lehman Brothers Holdings Inc.	02/17/2009	2853	\$32,034,000.00	No Blocking Number LPS Claim
103	RAUPACH, CAROLA SCHWEBELSTRASSE 27A BERLIN, 12305 GERMANY		Lehman No Case Asserted/All Cases Asserted	02/05/2009	2574	\$19,500.00	No Blocking Number LPS Claim
104	RAUTZENBERG, HELMTRAUD KANZLEI FESER DELLBRUCKER MAUSPFAD 319 KOLN, 51069 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	03/30/2009	3582	\$130,376.00	No Blocking Number LPS Claim
105	ROBBIONI, JORGE OMAR CAVERZASCHI AV DE LA VEGA 8 ES. 1 2-B 28100 ALCOBENDAS MADRID, SPAIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	12/23/2008	1434	\$26,000.00	No Blocking Number LPS Claim
106	RODRIGUES COSTA SONSA, PAULO FERNANDO RUA ENGO ADELINO AMADO DA COSTA, N 404 PAREDE, 2775-366 PORTUGAL	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/19/2009	42062	\$28,958.76	No Blocking Number LPS Claim
107	ROEBNER, HELGA ADELE-SANROCK-STR. 37 BERLIN, 12627 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	02/12/2009	2732	\$6,140.00	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
108	ROMAN RAMOS, ANTONIO ANGEL C/TORRENTE 20-F CP ALBAL (VALENCIA), 46470 SPAIN	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/11/2009	8250	\$56,894.84	No Blocking Number LPS Claim
109	RUNGE, EDGAR ALTKO NIGSTR 5 FRANKFURT/MAIN, D-60323 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25356	\$71,000.00	No Blocking Number LPS Claim
110	RUPPPRECHT, ANNETTE MOLTKESTR. 45 HAMBURG, D-20253 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	11/02/2009	61606	\$25,968.00	No Blocking Number LPS Claim
111	RUSSEE, SVEN SPITZWEGSTRASSE 80 DRESDEN, 01219 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	11/02/2009	62874	\$8,128.87	No Blocking Number LPS Claim
112	SAFTREVIK, VIDAR NESHADLENE 20 SAGVAAG, 5410 NORWAY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/19/2009	42088	\$86,393.90	No Blocking Number LPS Claim
113	SAFTREVIK, VIDAR NESHADLENE 20 SAGVAAG, 5410 NORWAY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/19/2009	42089	\$51,835.90	No Blocking Number LPS Claim
114	SCHMALZ, ROBERT DR. GERHARD HACKENBERGER, MAG. JURGEN GREILBERGER, ATTONEYS AT LAW. KAISERFELDGASSE 27 8010 GRAZ, AUSTRIA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/31/2009	6789	\$13,973.74	No Blocking Number LPS Claim

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
115	SCHOETTLE, HERBERT C/O NABER PC 300 CENTRAL AVENUE SUITE 320 GREAT FALLS, MT 59401	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/14/2009	12433	\$43,819.29	No Blocking Number LPS Claim
116	SCHRADE, DIETMAR & MONIKA TRETBAR DISTELWEG 5 ENGSTINGEN, D-72829		Lehman No Case Asserted/All Cases Asserted	09/10/2009	11280	\$7,355.00	No Blocking Number LPS Claim
117	SCHRAMM, SABINE SCHWEBELSTR. 17 A BERLIN, D 12305 GERMANY		Lehman No Case Asserted/All Cases Asserted	02/05/2009	2575	\$26,000.00	No Blocking Number LPS Claim
118	SCHUELGEN, GREGOR AM LIMPETZ-HOF 29 GREVENBROICH, D-41515	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/15/2009	1749	\$41,243.60	No Blocking Number LPS Claim
119	SCHULTE, JUSTUS AM RING 33 TECKLENBERG, D-49545 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	01/26/2009	1946	\$19,500.00	No Blocking Number LPS Claim
120	SENNE, GISELA SEEBURG 5 A CUXHAVEN, D-27478 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/30/2009	35589	\$10,150.00	No Blocking Number LPS Claim
121	SLATTERY, PADRAIG AND ITA WRENSBURY 4 BLACKHEATH GROVE CLONTARF DUBLIN 3, IRELAND		Lehman No Case Asserted/All Cases Asserted	10/22/2009	44187	\$745,000.00	No Blocking Number LPS Claim
122	STANCHEV, ERIKA SCHEFFELSTRASSE 23 FRANKENTHAL, 67227 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	18943	\$14,500.00	No Blocking Number LPS Claim

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
123	STEINBERG, NAUM BAYERISCHE STR. 11A BERLIN, 10707	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/21/2009	25209	\$5,800.00	No Blocking Number LPS Claim
124	STEVE LIN, TUNG-LIANG AND LIN TSENG CHING-NING 10 F. NO. 135-2 SONG REN RD TAIPEI, TAIWAN, PROVINCE OF CHINA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/25/2009	9570	\$300,000.00	No Blocking Number LPS Claim
125	STEVECO INTERNATIONAL BV VAN BREESTRAAT 171 HUIS AMSTERDAM, ZN 1071 NETHERLANDS	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/27/2009	50392	\$45,360.00	No Blocking Number LPS Claim
126	STRATEGIC INVESTMENT TRIBES FUND SPC C/O PHILLIPS NIZER LLP ATTN: PETER HARUTUNIAN 666 FIFTH AVENUE NEW YORK, NY 10103	08-13555 (JMP)	Lehman Brothers Holdings Inc.	05/06/2009	4170	\$3,000,000.00	No Blocking Number LPS Claim
127	TANGKARAVAKOON, JATUPHAT & LA-OR 94/1 EKAMAI 22 WATTANA BANGKOK, 10110 THAILAND		Lehman No Case Asserted/All Cases Asserted	08/10/2009	7942	\$300,000.00	No Blocking Number LPS Claim
128	TARSITANO, MS. MARIA CONCETTA VIA MAGNA GRECIA NO. 8 FAGNANO C. (CONSENZA)	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/07/2009	5170	\$8,280.00	No Blocking Number LPS Claim
129	ITALY TEMPO INDUSTRIES LIMITED 200 SOUTH BISCAYNE BOULEVARD, SUITE 5100 MIAMI, FL 33131	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/23/2009	45656	\$300,000.00	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
130	TETTENBORN, KLAUS GEORG-WILHELM-STRASSE 9B BERLIN, 10711 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/29/2009	56066	\$142,008.00	No Blocking Number LPS Claim
131	THEERKORN, GUNTHER HAFENSTR. 34 22880 WEDEL, GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	18141	\$92,306.50	No Blocking Number LPS Claim
132	THEERKORN, KLARA HAFENSTR. 34 22880 WEDEL, GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/18/2009	18142	\$92,306.50	No Blocking Number LPS Claim
133	THOMMA, LOTHAR MR. LETTENWEG 16 BINNINGEN, CH-4102 SWITZERLAND	08-13555 (JMP)	Lehman Brothers Holdings Inc.	04/07/2009	3755	\$255,000.00	No Blocking Number LPS Claim
134	TSCHANETT, MARKUS DR - ING MAG IMMOBILIENVERWALTER, SBH WIEN BLASELGASSE 13/3, 1180 AUSTRIA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/28/2009	35224	\$7,427.28	No Blocking Number LPS Claim
135	TUNG-LIANG, LIN & CHING-NING, TSENG 10F NO. 135-2 SONG REN RD TAIPEI, TAIWAN, PROVINCE OF CHINA	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/25/2009	9572	\$100,000.00	No Blocking Number LPS Claim
136	UBACH-UTERMOHL, BERNDT SEILERBAHNWEB 8 KONIGSTEIN, D-61462 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	03/11/2009	3296	\$72,170.00	No Blocking Number LPS Claim

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
137	UBACH-UTERMOHL, TAMINA SEILERBAHNWEB 8 KONIGSTEIN, D-61462 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	03/11/2009	3295	\$144,340.00	No Blocking Number LPS Claim
138	UTOMO, TEGUH AND UTOMO, MAGGIE JALAN PATIMURA NO 27 KEBAYORAN BARU JAKARTA SELATAN INDONESIA		Lehman No Case Asserted/All Cases Asserted	09/21/2009	20160	\$200,000.00	No Blocking Number LPS Claim
139	VALSECCHI, GIANFRANCO STUDIO CAFFI MARONCELLI & ASSOCIATI VIA VERDI, 4 BERGAMO, 24121 ITALY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/02/2009	5085	\$34,901.58	No Blocking Number LPS Claim
140	VALSECCHI, SERGIO STUDIO CAFFI MARONCELLI & ASSOCIATI VIA VERDI, 4 BERGAMO, 24121 ITALY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/02/2009	5087	\$34,896.70	No Blocking Number LPS Claim
141	VERSCHRAEGEN, WILFRIED & CARMEN AM PUTSCHENHOLZ 40 VELBERT, 42551 GERMANY		Lehman No Case Asserted/All Cases Asserted	09/15/2009	12988	\$60,500.00	No Blocking Number LPS Claim
142	VOGELSANG, DR. DIETRICH PROF. KAMPSTRABE 70, HANNOVER, D30629 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/06/2009	5153	\$1,000.00	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
143	VOLK, JUTTA JM KREUZGEWANN 14 WEINHEIM, 69469 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/30/2009	57426	\$74,063.60	No Blocking Number LPS Claim
144	WASCH, UWE & DOERTE HARDING RONNESTR. 8 BERLIN, 14057 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/30/2009	57159	\$21,930.00	No Blocking Number LPS Claim
145	WASCH, UWE & DOERTE HARDING RONNESTR. 8 BERLIN, 14057 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/30/2009	57160	\$7,310.00	No Blocking Number LPS Claim
146	WEIB, RALPH-PETER BRANDENBURGER RING 44A COTTBUS, 03051 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	06/10/2009	4833	\$13,964.00	No Blocking Number LPS Claim
147	WEINER, INGEBOURG & ERHARD BURKHARD STREET 15 SCHWABEBISCH HALL, G-74523 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	07/27/2009	6180	\$14,700.00	No Blocking Number LPS Claim
148	WILKE, HEIDI ESSLINGER WEG 5 STUHR, D-28816 GERMANY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	02/17/2009	2860	\$51,701.97	No Blocking Number LPS Claim
149	WILLEMS, P.J. HUIZERWEG 35 AS BLARICUM, 1261 NETHERLANDS	08-13555 (JMP)	Lehman No Case Asserted/All Cases Asserted	10/06/2009	36557	\$75,868.35	No Blocking Number LPS Claim
150	WILLEMS-POST, ERVEN A. HUIZERWEG 35 AS BLARICUM, 1261 NETHERLANDS	08-13555 (JMP)	Lehman No Case Asserted/All Cases Asserted	10/05/2009	36451	\$75,868.35	No Blocking Number LPS Claim

IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
151	WISE VISION INVESTMENTS INC. REPRESENTED BY CLARA, MAK YIM CHEE 3/F WING HING LUNG BUILDING 246 SAI YEUNG CHOI STREET SOUTH MONGKOK, HONG KONG	08-13555 (JMP)	Lehman Brothers Holdings Inc.	08/03/2009	7099	\$106,555.00	No Blocking Number LPS Claim
152	WITZIG, HARALD C/O NABER PC 300 CENTRAL AVENUE SUITE 320 GREAT FALLS, MT 59401	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/10/2009	11216	\$14,464.62	No Blocking Number LPS Claim
153	WITZIG, HARALD C/O NABER PC 300 CENTRAL AVENUE SUITE 320 GREAT FALLS, MT 59401	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/10/2009	11217	\$6,934.51	No Blocking Number LPS Claim
154	WITZIG, HARALD C/O NABER PC 300 CENTRAL AVENUE SUITE 320 GREAT FALLS, MT 59401	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/10/2009	11218	\$5,672.40	No Blocking Number LPS Claim
155	WITZIG, HARALD C/O NABER PC 300 CENTRAL AVENUE SUITE 320 GREAT FALLS, MT 59401	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/10/2009	11219	\$7,303.22	No Blocking Number LPS Claim
156	WITZIG, HARALD C/O NABER PC 300 CENTRAL AVENUE SUITE 320 GREAT FALLS, MT 59401	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/10/2009	11220	\$10,592.64	No Blocking Number LPS Claim
157	WONG, ALEX CHIN PANG NO. 2 PARK ROAD, 19/FL FLAT A, MID-LEVELS, HK HONG KONG	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/08/2009	10752	\$400,000.00	No Blocking Number LPS Claim

* - Indicates claim contains unliquidated and/or undetermined amounts


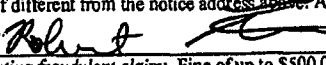
IN RE: LEHMAN BROTHERS HOLDINGS, INC. CASE NO: 08-13555 (JMP)

OMNIBUS OBJECTION 92: EXHIBIT 1 - NO BLOCKING NUMBER LPS CLAIMS

	NAME	CASE NUMBER	DEBTOR NAME	FILED DATE	CLAIM #	TOTAL CLAIM DOLLARS	REASON FOR PROPOSED DISALLOWANCE
158	WONG, YIN NGOR FLAT F, 33RD FLOOR, TOWER 12 TIERRA VERDE TSING YI, N.T., HONG KONG		Lehman No Case Asserted/All Cases Asserted	07/31/2009	6797	\$64,516.00	No Blocking Number LPS Claim
159	WOX INVEST AS FARENVEIEN 24D SKUI, 1340 NORWAY	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/13/2009	37591	\$34,500.00	No Blocking Number LPS Claim
160	WULF, NORBERT AND WICHMANN- WULF, GUDRUN C/O NABER PC 300 CENTRAL AVENUE SUITE 320 GREAT FALLS, MT 59401	08-13555 (JMP)	Lehman Brothers Holdings Inc.	09/16/2009	13627	\$14,464.62	No Blocking Number LPS Claim
161	YAU CHUNG SEUNG LUCINA FLAT 1702 FAI MING HOUSE CHUNG MING COURT JUNK BAY, HONG KONG	08-13555 (JMP)	Lehman Brothers Holdings Inc.	11/02/2009	63355	\$96,774.00	No Blocking Number LPS Claim
162	ZARRAGA, ADOLFO 9066 SW 73 COURT, APT. 1709 METROPOLIS II AT DADELAND MIAMI, FL 33156	08-13555 (JMP)	Lehman Brothers Holdings Inc.	10/23/2008	346	\$100,000.00	No Blocking Number LPS Claim
					TOTAL	\$80,782,384.65	

* - Indicates claim contains unliquidated and/or undetermined amounts

EXHIBIT E

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000067641	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) Robert Franz 6 Bayer Lane Boonton, N.J. 07005 Notices: White and Williams LLP One Penn Plaza, Suite 4110, New York, New York 10119 Attention: Sedgwick M. Jeanite, Esq.		<input checked="" type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: 13065 (If known) Filed on: 9/15/2009	
Telephone number: 212-631-4413 Email Address: jeanites@whiteandwilliams.com		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.	
Name and address where payment should be sent (if different from above)			
Telephone number: Email Address:			
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ 8,354,024.08 (Required)			
<input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.			
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): Please see attached (Required)			
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: (Required)			
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: (Required)			
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.		<div style="border: 2px solid black; padding: 5px; text-align: center;">FILED / RECEIVED AUG 24 2011 EPIQ BANKRUPTCY SOLUTIONS, LLC</div>	
Date: 08/17/2011	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. 		
Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571			

Summary of Robert Franz's Holdings

Bond	Cusip/ISIN	Quantity	Face	Coupon	Days	Interest	Net
LEH 6 03/14/11	XS0163559841	90	€ 90,000.00	3.8383	188	€ 1,779.27	€ 91,779.27
LEH StrNt 1/26/13	XS0178969209	10	€ 10,000.00	3.4385	297	€ 279.79	€ 10,279.79
LEH StrNt 04/23/14	X80189294225	30	€ 30,000.00	4.3192	148	€ 525.40	€ 30,525.40
LEH 5 09/22/14	XS0200284247	58	€ 58,000.00	3.05	362	€ 1,754.48	€ 59,754.48
LEH StrNt 10/27/14	XS0202417050	20	€ 20,000.00	3.0035	327	€ 538.16	€ 20,538.16
LEH StrNt 12/30/16	XS0208459023	95	€ 95,000.00	3.1589	263	€ 2,162.36	€ 97,162.36
LEH StrNt 02/16/15	XS0211093041	30	€ 30,000.00	4.1692	215	€ 736.74	€ 30,736.74
LEH StrNt 02/16/17	XS0211814123	15	€ 15,000.00	4.1692	215	€ 368.37	€ 15,368.37
LEH StrNt 10/10/13	XS0176153350	20	€ 20,000.00	3.0601	344	€ 576.80	€ 20,576.80
LEH 0 06/13/17	XS0220704109	111	€ 111,000.00	4.598	97	€ 1,356.36	€ 112,356.36
LEH StrNt 10/05/35	XS0229584296	750	€ 750,000.00	7.25	349	€ 51,991.44	€ 801,991.44
LEH StrNt 05/17/13	X50254628661	55	€ 55,000.00	1	121	€ 182.33	€ 55,182.33
LEH StrNt 10/10/08	XS0295438369	7	€ 7,000.00	0	524	€ 0.00	€ 7,000.00
LEH StrNt 05/04/12	XS0296156085	50	€ 50,000.00	2.62	134	€ 480.93	€ 50,480.93
LEH StrNt 07/14/14	XS0309485729	20	€ 20,000.00	0	64	€ 0.00	€ 20,000.00
LEH StrNt 01/31/17	XS0283497005	33	€ 33,000.00	4.4602	231	€ 931.50	€ 33,931.50
			€ 1,394,000.00			€ 63,663.93	€ 1,457,663.93
						Exchange Rate	\$1.46/€
							\$2,128,189.33

Summary of Robert Franz's
Additional Holdings

Bond	CUSIP	ISIN#	Series Number	Quantity	Price	Current Yield	Claim (USD)
LEHMAN BROTHERS TREASURY BV 0.000% 05/17/35 REG DTD 05/17/05 N/C	N5213CKC3	S0218304458	MTN2744	365000	11.8875	0	365,000
LEHMAN BROTHERS TSY BV EMTN 7.250% 10/05/35 REG DTD 10/05/05 N/C	N5213FBA0	XS0229584296	MTN3171	360000	10.0954	71.81	360,000
LEHMAN BROTHERS TSYBV 0.000% 07/03/09 REG DTD 07/03/06 MATURED	N5213S485	XS0256368308	MTN4480	60000	0	0	60,000
LEHMAN BROTHERS TSYBV EMTN 0.000% 10/10/09 REG DTD 04/13/07 MATURED	N5214F748	XS0295438369	MTN6917	7000	0	0	7,000
LEHMAN BROTHERS TSY EURO MTN 0.000% 05/04/12 REG DTD 05/04/07 N/C	N5214F821	XS0296156085	MTN6910	50000	0	0	50,000
LEHMAN BROTHERS TREASURY BV NOTE CONV TO OGZD 0.000% 02/08/12 REG DTD 02/08/08 N/C	N5215D320	XS0344087183	MTN9760	100000	0	0	59,898.75
LEHMAN BROTHERS TREASURY BV RANGE ACCRUAL NTS VAR RATE 0.000% 05/30/18 REG DTD 05/30/08 CLB CALLABLE	N5215L835	XS0363471631	MTN10567	100000	0	0	100,000
LEHMAN BROTHERS EURO MTN 0.000% 06/17/09 REG DTD 06/17/08 MAT MATURED	N5215N518	XS0369337711	MTN10907	50000	0	0	49,935.00
LEHMAN BROTHERS TSY BV 0.000% 12/21/09 REG DTD 12/21/07 MAT MATURED	N52158818	XS0335576475	MTN9241	75000	0	0	75,000
LEHMAN BROTHERS BANKHAUS AG FRANKFURT 0.000% 02/15/35 REG DTD 02/15/05 N/C	D5958NAR7	XS0210433206	MTN2551A	353000	10.8395	0	353,000
LEHMAN BROS TREAS BVEURO MTN 7% TO 2/05 THEN 5% TO 06, 1.50% 7.000% 02/27/14 REG DTD 02/27/04 N/C	N5213BLK6	XS0185655445	MTN1963	12000	44.5594	15.7	12,000
LEHMAN BROTHERS TREASURY BV EURO MED TRM NTS VAR RT 7.000% 04/23/14 REG DTD 04/23/04 N/C	N5213BNT5	XS0189294225	MTN2049	10000	0	0	10,000
LEHMAN BROTHERS TSY BV EMTN 0.000% 03/16/35 REG DTD 03/16/05 N/C	N5213CFP0	XS0213416141	MTN2645	500000	14.5364	0	500,000

Bond	CUSIP	ISIN#	Series Number	Quantity	Price	Current Yield	Claim (USD)
LEHMAN BROTHERS TSY BV EMTN 0.000% 10/10/13 REG DTD 10/10/03 N/C	N5213CGW4	XS0176153350	MTN1797	310000	25.8732	0	310,000
LEHMAN BROTHERS TREASURY BV 0.000% 05/17/35 REG DTD 05/17/05 N/C	N5213CKC3	XS0218304458	MTN2744	2072000	11.8875	0	2,072,000
LEHMAN BROTHERS TSY BV EMTN 7.250% 10/05/35 REG DTD 10/05/05 N/C	N5213FBA0	XS0229584296	MTN 3171	651000	10.0954	71.81	651,000
LEHMAN BROTHERS TSYEURO MTN 0.000% 11/02/35 REG DTD 11/02/05 CLB CALLABLE	N5213F871	XS0232364868	MTN3304	756000	14.5364	0	756,000
LEHMAN BROTHERS TSY EURO MTN 0.000% 05/17/13 REG DTD 05/17/06 N/C	N5213R677	XS0254628661	MTN4364	55000	0	0	55,000
LEHMAN BROTHERS TREASURY BV CAP PROTECTED 0.000% 07/14/14 REG DTD 07/13/07 N/C	N5214L711	XS0309485729	MTN7747	20000	0	0	20,000
LEHMAN BROTHERS TSY EURO MTN 4.500% 03/18/15 REG DTD 03/18/08 N/C	N5215FAE1	XS0346466781	MTN9861	360000	0	0	360,000
						Total	\$6,225,834.75

EXHIBIT F

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767 Fifth Avenue
New York, New York 10153
Telephone: (212) 310-8000
Facsimile: (212) 310-8007
Robert J. Lemons

Attorneys for Debtors
and Debtors in Possession

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

-----X	
	:
In re	: Chapter 11 Case No.
	:
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	: 08-13555 (JMP)
	:
Debtors.	: (Jointly Administered)
	:
-----X	

**NOTICE OF ADJOURNMENT
OF DEBTORS' NINETY-SECOND OMNIBUS OBJECTION TO CLAIMS
(NO BLOCKING NUMBER LPS CLAIMS) SOLELY AS TO CERTAIN CLAIMS**

PLEASE TAKE NOTICE that the hearing on Debtors' Ninety-Second Omnibus Objection to Claims (No Blocking Number LPS Claims) (the "Objection"), that was scheduled for October 27, 2011, at 10:00 a.m. (Prevailing Eastern Time), **has been adjourned, solely as to the claims listed on Exhibit A attached hereto, to November 30, 2011, at 10:00 a.m. (Prevailing Eastern Time)** (the "Hearing"), or as soon thereafter as counsel may be heard. The Hearing will be held before the Honorable James M. Peck, United States Bankruptcy Court, Alexander Hamilton Custom House, One Bowling Green, New York, New York, Room 601, and such Hearing may be further adjourned from time to time without further notice other than an announcement at the Hearing.

Dated: October 24, 2011
New York, New York

/s/ Robert J. Lemons

Robert J. Lemons

WEIL, GOTSHAL & MANGES LLP

767 Fifth Avenue

New York, New York 10153

Telephone: (212) 310-8000

Facsimile: (212) 310-8007

Attorneys for Debtors
and Debtors in Possession

Exhibit A**Adjourned Claims:**

Claimant Name	Claim Number	Docket No.
AMONN-DINGER, VERENA	11169	N/A
BAUMHEIER, KATJA	11170	N/A
BAUMHEIER, KATJA	11171	N/A
BAYER, DR. INGEBORG	12425	N/A
BAYER, DR. INGEBORG	12426	N/A
BECKER, DR. KLAUS	13631	N/A
BIESEMANN, DR. JOERG	13624	N/A
BROSCHK, DIETER	13637	N/A
CZYGANOWSKI, SIEGFRIED	13610	N/A
DIETZSCH, KRISTIN	11173	N/A
FASSBENDER, MARIA	13638	N/A
FETKENHEUER, LOTHAR	67257	N/A
FUCHS, GERHARD	13632	N/A
GANSER, ALBERT	24995	N/A
GETTE, HELENE	12444	N/A
HASSE, KLAUS-DIETER	13628	N/A
HEINZEN, HANS	11178	N/A
HIRSCH, KURT	11179	N/A
ISHII, HIROICHI AND HELLA	13602	N/A
JAHN, URSULA & HORST	24987	N/A

Claimant Name	Claim Number	Docket No.
JANSEN, WALTER	34350	N/A
JANSEN, WALTER	34347	N/A
JONAS, PETER AND HEIKE	13605	N/A
KAPALLA, KURT	12448	N/A
KELLNER, MARTIN	11181	N/A
KIM, CHRISTINA	9491	15337
KLIPPEL, ALMUT	67260	N/A
KLUG, KLAUS	12442	N/A
KOECHLING, HERMANN	11184	N/A
KRAWINKEL, ODO	12427	N/A
KRAWINKEL, ODO	12428	N/A
KUHNAST, FRANK-ROLAND	67258	N/A
LENNARTZ, HEINZ WERNER	39781	15334
LEWIN, JOERG	34348	N/A
LUCKS, IIONA	24996	N/A
LUKOSCHEK, WOLFGANG	13611	N/A
MARCHAND LOPEZ, HUMBERTO G.	2794	N/A
MARCHAND LOPEZ, HUMBERTO G.	2795	N/A
MARTINEZ SABE, HECTOR RAUL	31404	N/A
MASTALEREK, MICHAEL	11187	N/A
MITTMANN, HANA	24990	N/A
MUELLER, WILFRIED	24991	N/A

Claimant Name	Claim Number	Docket No.
NOERRENBURG-SUDHAUS, WERNER	11190	N/A
OBERKERSCH, BEATRICE	11191	N/A
POSCH, MANFRED	12441	N/A
PROCESOS CONTROLADOS SE DE CV	2821	18512
PUNJAB NATIONAL BANK (INTERNATIONAL) LIMITED	63860	15074
RAKE, FRANK-REINER	11192	N/A
RAKEPOLL FINANCE N.V.	1638	N/A
RAMPOLD, HEIDRUN ELISABETH	11193	N/A
RBS SECURITIES INC.	59793	15036
RBS SECURITIES INC.	59794	15036
RODRIGUEZ, KARSTEN	13621	N/A
RUTTENER, JUERG FOR RUETTENER, HANNELORE	67267	N/A
RUTTENER, JUERG FOR RUETTENER, HANS	67265	N/A
SCHIENER, FRIEDBERT WALTER UND HANNELORE EDELTRAUT	11196	N/A
SCHMIDT, KARIN	11197	N/A
SCHMIDT, RA PETRA	11198	N/A
SCHMITZ, HERBERT	24982	N/A
SCHMITZ, HERBERT	24983	N/A
SCHMITZ, HERBERT	24960	N/A
SCHMITZ, HERBERT	24984	N/A
SCHOBER, HANS-JOACHIM	13634	N/A

Claimant Name	Claim Number	Docket No.
SCHOBER, MARITA	13635	N/A
SCHWEBIUS, SANDRA	11199	N/A
SCHWERDT, KORINNA, DR.	61158	N/A
SOGECAP	1168	15080
SOKOLOWSKI, CLAUS-GUENTHER	11200	N/A
SPERZEL, SUSANNE	12443	N/A
SPRINGER, WERNER	13623	N/A
STANGE, HANS-JOACHIM	12440	N/A
STEINFORT, SILVIA	11201	N/A
STEINFORT, SILVIA	11203	N/A
STEINFORT, SILVIA	11202	N/A
STEINMUELLER, DR. BERND & HILDEGARD	13603	N/A
TALREJA, ROHINI	4983	N/A
THE MINAMI-NIPPON BANK LIMITED	59150	N/A
THOEMEL, HEINZ	11207	N/A
UTTENDORF, WILFRIED	57811	N/A
VOLK, HELMUT UND ANNEMARIE	11209	N/A
VON DER HEYDE, PHILIP	11210	N/A
WARTAK, BEATE	11211	N/A
WEBER HANS-HERMANN	67237	N/A
WEBER HANS-HERMANN	67236	N/A
WEBER HANS-HERMANN	67239	N/A

Claimant Name	Claim Number	Docket No.
WEIDLICH, JOERG	13642	N/A
WEISS, RENATE	11212	N/A
WENZEL, PETER	11213	N/A
WERNER, FRIEDHELM AND IRENE	13615	N/A
WILDEBRAND, PETER	13609	N/A
WIPPERN, MARGIT	13619	N/A
WITTIG, HANSPETER	57824	N/A
WOLF, ANDREAS	13608	N/A
WOLSKI, MIECZYSLAW	61159	N/A
WOLSKI, MIECZYSLAW	61160	N/A
WOLSKI, MIECZYSLAW	61191	N/A
ZELLINGER, ERNST	11224	N/A

EXHIBIT G

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Facsimile: (212) 310-8007
Robert J. Lemons

Attorneys for Debtors
and Debtors in Possession

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

-----X	
In re	: Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	: 08-13555 (JMP)
Debtors.	: (Jointly Administered)
	:
	:
-----X	

**NOTICE OF WITHDRAWAL OF DEBTORS'
NINETY-SECOND OMNIBUS OBJECTION TO CLAIMS
(NO BLOCKING NUMBER LPS CLAIMS) AS TO CLAIM NO. 45223**

PLEASE TAKE NOTICE that Lehman Brothers Holdings Inc. and its affiliated debtors in the above-referenced chapter 11 cases, as debtors and debtors in possession (collectively, "Debtors") are withdrawing without prejudice their Ninety-Second Omnibus Objection to Claims (No Blocking Number LPS Claims) [Dkt. No. 14472] solely with respect to the claim listed on Exhibit A annexed hereto. The Debtors reserve their rights to object to the claim listed on Exhibit A on any grounds in the future.

Dated: October 24, 2011
New York, New York

/s/ Robert J. Lemons

Robert J. Lemons

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New York, New York 10153
Telephone: (212) 310-8000
Facsimile: (212) 310-8007

Attorneys for Debtors
and Debtors in Possession

Exhibit A

Claims for Which Objection Is Withdrawn Without Prejudice:

<u>Claimant</u>	<u>Claim Number</u>
Ildefonso, Lacasta March	45223

EXHIBIT H

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

-----X	
	:
In re	: Chapter 11 Case No.
	:
LEHMAN BROTHERS HOLDINGS INC., et al.,	: 08-13555 (JMP)
	:
Debtors.	: (Jointly Administered)
	:
-----X	

SUPPLEMENTAL ORDER REINSTATING CLAIM

WHEREAS Lehman Brothers Holdings Inc. ("LBHI") and its affiliated debtors, in the above-referenced chapter 11 cases, as debtors and debtors in possession (collectively, the "Debtors"), filed the *Debtors' Sixty-Third Omnibus Objection to Claims (Valued Derivative Claims)*, dated October 13, 2010 [Docket No. 11978] (the "Sixty-Third Omnibus Objection to Claims"), against claim number 14329 (the "Claim"), which was filed by China Development Ind Bank (the "Claimant");

WHEREAS the Sixty-Third Omnibus Objection to Claims sought, pursuant to section 502(b) of title 11 of the United States Code (the "Bankruptcy Code"), Rule 3007(d) of the Federal Rules of Bankruptcy Procedures, and this Court's order approving procedures for the filing of omnibus objections to proofs of claim [Docket No. 6664] (the "Procedures Order"), to reduce and allow the Claim on the grounds that the amount listed on Claimant's proof of claim was greater than the fair, accurate, and reasonable values determined by the Debtors after a review of Claimant's supporting documentation and the Debtors books and records, as more fully described in the Sixty-Third Omnibus Objection to Claims;

WHEREAS the Debtors did not receive a response to the Sixty-Third Omnibus Objection to Claims on behalf of the Claim prior to the deadline to respond to such objection,

which was November 15, 2010 at 4:00 p.m. (Prevailing Eastern Time) (the “Response Deadline”);

WHEREAS the Court held a hearing on December 1, 2010 (the “Omnibus Hearing”) to consider the relief requested in the Sixty-Third Omnibus Objection to Claims;

WHEREAS the Court entered an order on December 2, 2010 [Docket No. 13164] granting the relief requested in the Sixty-Third Omnibus Objection to Claims (the “Original Order”), which, among other things, reduced and allowed the Claim;

WHEREAS Claimant never received from the court-approved claims and noticing agent, Epiq Bankruptcy Solutions, LLC (“Epiq”), a service copy of the Sixty-Third Omnibus Objection to Claims due to an error in the service address;

WHEREAS had Claimant received service of the Sixty-Third Omnibus Objection to Claims Claimant would have filed a Response by the Response Deadline or requested an extension to the Response Deadline pending negotiations with the Debtors;

IT IS HEREBY:

ORDERED that Epiq shall be authorized and directed to immediately reinstate the Claim on the Debtors’ official claims register; and it is further

ORDERED that the rights of the Debtors and any other party in interest to object to the Claim on any ground are expressly preserved and unaffected by this Supplemental Order; and it is further

ORDERED that the Court shall retain jurisdiction to hear and determine all matters arising from or related to this Supplemental Order.

Dated: New York, New York
July 13, 2011

s/ James M. Peck
Honorable James M. Peck
United States Bankruptcy Judge

CERTIFICATE OF SERVICE

I hereby certify that on November 23, 2011, a true and correct copy of the foregoing Motion of Robert Franz and this Certificate of Service were filed electronically with the Court. Notice of this filing will be sent today to all parties via the Court's electronic filing system.

Dated: November 23, 2011

By: /s/ Sedgwick M. Jeanite
Sedgwick M. Jeanite, Esq.
White and Williams LLP
One Penn Plaza, Suite 4110
New York, NY 10119